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# Creating A Single Global Electronic Market

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5	ebXML Registry Information Model v0.90
6	ebXML Registry Project Team
7	20 April 2001
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9	1 Status of this Document
10  1  2  3	This document specifies an ebXML DRAFT STANDARD for the eBusiness community.
4  5	Distribution of this document is unlimited.
6  7  8	The document formatting is based on the Internet Society's Standard RFC format.
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#### 3 Introduction

#### 155 **3.1 Summary of Contents of Document**

156 This document specifies the information model for the ebXML *Registry*.

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- 158 A separate document, ebXML Registry Services Specification [ebRS], describes
- how to build Registry Services that provide access to the information content in
- the ebXML Registry.

#### 161 3.2 General Conventions

- 0 UML diagrams are used as a way to concisely describe concepts. They are
   not intended to convey any specific *Implementation* or methodology
   requirements.
- 165 o Interfaces are often used in *UML* diagrams. They are used instead of *Classes* 166 with attributes to provide an abstract definition without implying any specific 167 Implementation. Specifically, they do not imply that objects in the Registry will 168 be accessed directly via these interfaces. Objects in the Registry are 169 accessed via interfaces described in the ebXML Registry Services 170 Specification. Each get method in every interface has an explicit indication of 171 the attribute name that the get method maps to. For example getName 172 method maps to an attribute named name.
- 173 o The term "repository item" is used to refer to actual *Registry* content (e.g. a DTD, as opposed to metadata about the DTD). It is important to note that the information model is not modeling actual repository items.
- 176 o The term "RegistryEntry" is used to refer to an object that provides metadata 177 about content *Instance* (repository item).
- The term "RegistryObject" is used to refer to the base interface in the information model to avoid the confusion with the common term "object". However, when the term "object" is used to refer to a *class* or an interface in the information model, it may also mean RegistryObject because almost all classes are descendants of RegistryObject.

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The information model does not contain any *Elements* that are the actual content of the *Registry* (repository item). All *Elements* of the information model represent metadata about the content and not the content itself.

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Software practitioners MAY use this document in combination with other ebXML specification documents when creating ebXML compliant software.

191 The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD. 192 SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in 193 this document, are to be interpreted as described in RFC 2119 [Bra97]. 194 195 3.2.1 Naming Conventions 196 197 In order to enforce a consistent capitalization and naming convention in this document, "Upper Camel Case" (UCC) and "Lower Camel Case" (LCC) 198 199 Capitalization styles are used in the following conventions 200 201 • Element name is in *UCC* convention 202 (example: <UpperCamelCaseElement/>). 203 • Attribute name is in *LCC* convention 204 (example: <UpperCamelCaseElement 205 lowerCamelCaseAttribute="Whatever"/>). 206 Class, Interface names use UCC convention 207 (examples: ClassificationNode, Versionable). 208 Method name uses LCC convention 209 (example: getName(), setName()) 210 211 Also, Capitalized Italics words are defined in the ebXML Glossary [ebGLOSS]. 3.3 Audience 212 213 The target audience for this specification is the community of software 214 developers who are: o Implementers of ebXML Registry Services 215 216 o Implementers of ebXML Registry Clients 3.4 Related Documents 217 218 The following specifications provide some background and related information to 219 the reader: 220 221 a) ebXML Registry Services Specification [ebRS] - defines the actual 222 Registry Services based on this information model 223 b) ebXML Collaboration-Protocol Profile and Agreement Specification [ebCPP] - defines how profiles can be defined for a Party and how two 224 225 Parties' profiles may be used to define a Party agreement 226 c) ebXML Business Process Specification Schema [ebBPSS] 227

### 4 Design Objectives

- 229 **4.1 Goals**
- 230 The goals of this version of the specification are to:
- o Communicate what information is in the *Registry* and how that information is organized
- o Leverage as much as possible the work done in the *OASIS* [OAS] and the *ISO* 11179 [ISO] Registry models
- 235 o Align with relevant works within other ebXML working groups
- 236 o Be able to evolve to support future ebXML Registry requirements
- 237 o Be compatible with other ebXML specifications

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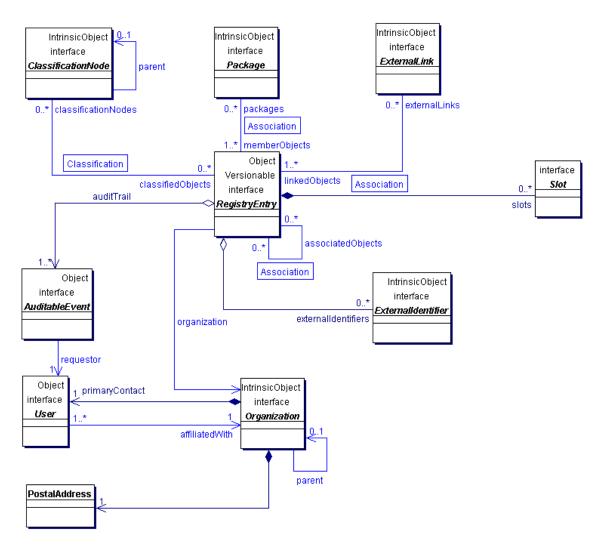
### 5 System Overview

- 240 **5.1 Role of ebXML Registry**
- 241 The *Registry* provides a stable store where content submitted by a *Submitting*
- 242 Organization is made persistent. Such content is used to facilitate ebXML-based
- 243 Business to Business (B2B) partnerships and transactions. Submitted content
- 244 may be *XML* schema and documents, process descriptions, *Core Components*,
- context descriptions, *UML* models, information about parties and even software
- 246 components.
- 247 **5.2 Registry Services**
- 248 A set of Registry Services that provide access to Registry content to clients of the
- 249 Registry is defined in the ebXML Registry Services Specification [ebRS]. This
- document does not provide details on these services but may occasionally refer
- 251 to them.
- 252 5.3 What the Registry Information Model Does
- 253 The Registry Information Model provides a blueprint or high-level schema for the
- 254 ebXML Registry. Its primary value is for implementers of ebXML Registries. It
- 255 provides these implementers with information on the type of metadata that is
- stored in the *Registry* as well as the relationships among metadata *Classes*.
- 257 The Registry information model:
- 258 o Defines what types of objects are stored in the *Registry*
- 259 o Defines how stored objects are organized in the Registry
- 260 o Is based on ebXML metamodels from various working groups

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ebXML Registry Information Model

#### 5.4 How the Registry Information Model Works 262 263 Implementers of the ebXML Registry MAY use the information model to 264 determine which Classes to include in their Registry Implementation and what 265 attributes and methods these Classes may have. They MAY also use it to 266 determine what sort of database schema their Registry Implementation may 267 need. 268 [Note] The information model is meant to be 269 illustrative and does not prescribe any 270 specific Implementation choices. 271 5.5 Where the Registry Information Model May Be Implemented 272 273 The Registry Information Model MAY be implemented within an ebXML Registry 274 in form of a relational database schema, object database schema or some other 275 physical schema. It MAY also be implemented as interfaces and Classes within a 276 Registry Implementation. 277 5.6 Conformance as an ebXML Registry 278 279 If an Implementation claims Conformance to this specification then it supports all 280 required information model Classes and interfaces, their attributes and their 281 semantic definitions that are visible through the ebXML Registry Services. Registry Information Model: High Level Public View 282 283 This section provides a high level public view of the most visible objects in the 284 Registry. 285 286 Figure 1 shows the high level public view of the objects in the *Registry* and their 287 relationships as a UML Class Diagram. It does not show Inheritance, Class 288 attributes or Class methods. 289 The reader is again reminded that the information model is not modeling actual 290 repository items. 291



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Figure 1: Information Model High Level Public View

### 6.1 RegistryEntry

The central object in the information model is a RegistryEntry. An *Instance* of RegistryEntry exists for each content *Instance* submitted to the *Registry*. *Instances* of the RegistryEntry *Class* provide metadata about a repository item in the *Registry*. The actual repository item (e.g. a *DTD*) is not contained in an *Instance* of the RegistryEntry *Class*. Note that most *Classes* in the information model are specialized sub-classes of RegistryEntry. Each RegistryEntry is related to exactly one repository item, however, in the future revision of this document, it may be related to multiple repository items.

303	6.2 Slot
304 305 306	Slot <i>Instances</i> provide a dynamic way to add arbitrary attributes to RegistryEntry <i>Instances</i> . This ability to add attributes dynamically to RegistryEntry <i>Instances</i> enables extensibility within the Registry Information Model.
307	6.3 Association
308 309 310	Association <i>Instances</i> are RegistryEntries that are used to define many-to-many associations between objects in the information model. Associations are described in detail in section 10.
311	6.4 Externalldentifier
312 313 314	ExternalIdentifier <i>Instances</i> provide additional identifier information to RegistryEntry such as DUNS number, Social Security Number, or an alias name of the organization.
315	6.5 ExternalLink
316 317 318 319 320 321 322 323 324 325	ExternalLink <i>Instances</i> are RegistryEntries that model a named URI to content that is not managed by the <i>Registry</i> . Unlike managed content, such external content may change or be deleted at any time without the knowledge of the <i>Registry</i> . RegistryEntry may be associated with any number of ExternalLinks. Consider the case where a <i>Submitting Organization</i> submits a repository item (e.g. a <i>DTD</i> ) and wants to associate some external content to that object (e.g. the <i>Submitting Organization</i> 's home page). The ExternalLink enables this capability. A potential use of the ExternalLink capability may be in a GUI tool that displays the ExternalLinks to a RegistryEntry. The user may click on such links and navigate to an external web page referenced by the link.
326	6.6 ClassificationNode
327 328 329 330	ClassificationNode <i>Instances</i> are RegistryEntries that are used to define tree structures where each node in the tree is a ClassificationNode. <i>Classification</i> trees constructed with ClassificationNodes are used to define <i>Classification</i> schemes or ontologies. ClassificationNode is described in detail in section 11.
331	6.7 Classification
332 333 334	Classification <i>Instances</i> are RegistryEntries that are used to classify repository item by associating their RegistryEntry <i>Instance</i> with a ClassificationNode within a <i>Classification</i> scheme. Classification is described in detail in section 11.
335	6.8 Package
336	Package Instances are RegistryEntries that group logically related
337 338 339	RegistryEntries together. One use of a Package is to allow operations to be performed on an entire <i>Package</i> of objects. For example all objects belonging to a Package may be deleted in a single request.

340 341 342	<b>6.9 AuditableEvent</b> AuditableEvent <i>Instances</i> are Objects that are used to provide an audit trail for RegistryEntries. AuditableEvent is described in detail in section 8.
343 344 345 346 347	<b>6.10 User</b> User <i>Instances</i> are Objects that are used to provide information about registered users within the <i>Registry</i> . User objects are used in audit trail for RegistryEntries. User is described in detail in section 8.
348	6.11 PostalAddress
349 350 351	PostalAddress is a simple reusable <i>Entity Class</i> that defines attributes of a postal address.
352	6.12 Organization
353 354 355	Organization <i>Instances</i> are RegistryEntries that provide information on organizations such as a <i>Submitting Organization</i> . Each Organization <i>Instance</i> may have a reference to a parent Organization.
356	7 Registry Information Model: Detail View
357 358 359 360	This section covers the information model <i>Classes</i> in more detail than the Public View. The detail view introduces some additional <i>Classes</i> within the model that were not described in the public view of the information model.
361 362 363 364 365 366 367	Figure 2 shows the <i>Inheritance</i> or "is a" relationships between the <i>Classes</i> in the information model. Note that it does not show the other types of relationships, such as "has a" relationships, since they have already been shown in a previous figure. <i>Class</i> attributes and <i>class</i> methods are also not shown. Detailed description of methods and attributes of most interfaces and <i>Classes</i> will be displayed in tabular form following the description of each <i>Class</i> in the model.
368 369	The interface Association will be covered in detail separately in section 10. The interfaces Classification and ClassificationNode will be covered in detail

separately in section 11.

repository items.

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The reader is again reminded that the information model is not modeling actual

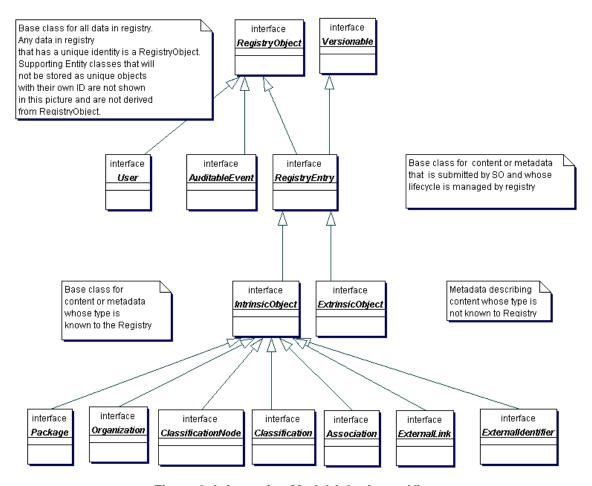


Figure 2: Information Model Inheritance View

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### 7.1 Interface RegistryObject

#### All Known Subinterfaces:

<u>Association</u>, <u>Classification</u>, <u>ClassificationNode</u>, <u>ExternalLink</u>, <u>ExtrinsicObject</u>, <u>IntrinsicObject</u>, <u>RegistryEntry</u>, <u>Organization</u>, <u>Package</u>, Submission

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RegistryObject provides a common base interface for almost all objects in the information model. Information model *Classes* whose *Instances* have a unique identity and an independent life cycle are descendants of the RegistryObject *Class*.

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Note that Slot and PostalAddress are not descendants of the RegistryObject *Class* because their *Instances* do not have an independent existence and unique identity. They are always a part of some other *Class*'s *Instance* (e.g. Organization has a PostalAddress).

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ebXML Registry Information Model

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Method Summary		
AccessControlPolicy	getAccessControlPolicy()	
	Gets the AccessControlPolicy object associated	
	with this RegistryObject. An AccessControlPolicy defines	
	the Security Model associated with the RegistryObject in	
	terms of "who is permitted to do what" with that RegistryObject. Maps to attribute named	
	accessControlPOlicy.	
Ob soin a	<pre>getDescription()</pre>	
String	Gets the context independent textual description	
	for this RegistryObject. Maps to attribute named	
	description.	
String	getName ()	
	Gets user friendly context independent name of	
	object in Repository. Maps to attribute named name.	
String	getID()	
	Gets the universally unique ID, as defined by	
	[UUID], for this RegistryObject. Maps to attribute named id.	
void	setDescription (String description)	
	Sets the context independent textual description for this RegistryObject.	
	setName(String name)	
VOIG	Sets user friendly context independent name of	
	object in Repository.	
void	setID(String id)	
	Sets the universally unique ID, as defined by	
	[UUID], for this RegistryObject.	

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#### 7.2 Interface Versionable

#### All Known Subinterfaces:

<u>Association, Classification, ClassificationNode, ExternalLink, ExtrinsicObject, IntrinsicObject, RegistryEntry, Organization, Package</u>

The Versionable interface defines the behavior common to *Classes* that are capable of creating versions of their *Instances*. At present all RegistryEntry *Classes* are REQUIRED to implement the Versionable interface.

Method Summary		
int	<pre>getMajorVersion()</pre>	
	Gets the major revision number for this version of the	
	Versionable object. Maps to attribute named majorVersion.	
int	<pre>getMinorVersion()</pre>	
	Gets the minor revision number for this version of the	
	Versionable object. Maps to attribute named minorVersion.	
void	setMajorVersion(int majorVersion)	
	Gets the major revision number for this version of the	
	Versionable object.	
void	setMinorVersion(int minorVersion)	
	Sets the minor revision number for this version of the	
	Versionable object.	

### 7.3 Interface RegistryEntry

#### **All Superinterfaces:**

RegistryObject, Versionable

#### **All Known Subinterfaces:**

<u>Association</u>, <u>Classification</u>, <u>ClassificationNode</u>, <u>ExternalLink</u>, ExtrinsicObject, IntrinsicObject, Organization, Package

RegistryEntry is a common base *Class* for all metadata describing submitted content whose life cycle is managed by the *Registry*. Metadata describing content submitted to the *Registry* is further specialized by the ExtrinsicObject and IntrinsicObject subclasses of RegistryEntry.

<b>Method Su</b>	ımmary
Collection	getAssociatedObjects()
	Returns the collection of RegistryObjects associated with
	this RegistryObject. Maps to attribute named
	associatedObjects.
Collection	getAuditTrail()
	Returns the complete audit trail of all requests that
	effected a state change in this RegistryObject as an ordered
	Collection of AuditableEvent objects. Maps to attribute named
	auditTrail.
Collection	getClassificationNodes()
COTTECTION	Returns the collection of ClassificationNodes associated
	with this RegistryObject. Maps to attribute named
	classificationNodes.
Colloation	getExternalLinks()
Collection	Returns the collection of ExternalLinks associated with
9 11 11	this RegistryObject. Maps to attribute named externalLinks.
Collection	<u>getExternalIdentifiers()</u> Returns the collection of ExternalIdentifiers associated
	with this RegistryObject. Maps to attribute named
	externalIdentifiers.
String	getObjectType()
	Gets the pre-defined object type associated with this
	RegistryEntry. This SHOULD be the name of a object type as
	described in 7.3.2. Maps to attribute named objectType.
Collection	getOrganizations()
	Returns the collection of Organizations associated with
	this RegistryObject. Maps to attribute named organizations.
Collection	getPackages()
	Returns the collection of Packages associated with this
	RegistryObject. Maps to attribute named packages.
String	getStatus()
	Gets the life cycle status of the RegistryEntry within the
	Registry. This SHOULD be the name of a RegistryEntry status
	type as described in 7.3.1. Maps to attribute named status.
String	<pre>getUserVersion()</pre>
	Gets the userVersion attribute of the RegistryEntry within
	the Registry. The userVersion is the version for the
	RegistryEntry as assigned by the user.
void	setUserVersion (String UserVersion)
	Sets the userVersion attribute of the RegistryEntry within
	the Registry.
String	<pre>getStability()</pre>

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	Gets the stability indicator for the RegistryEntry within the <i>Registry</i> . The stability indicator is provided by the submitter as a guarentee of the level of stability for the content. This SHOULD be the name of a stability type as described in 7.3.3. Maps to attribute named stability.
Date	Gets expirationDate attribute of the RegistryEntry within the <i>Registry</i> . This attribute defines a time limit upon the stability guarentee provided by the stability attribute. Once the expirationDate has been reached the stability attribute in effect becomes STABILITY_DYNAMIC implying that content can change at any time and in any manner. A null value implies that there is no expirationDate.
	SetExpirationDate (Date ExpirationDate)  Sets expirationDate attribute of the RegistryEntry within the Registry.
Collection	getSlots()  Gets the collection of slots that have been dynamically added to this RegistryObject. Maps to attribute named slots.
void	Adds one or more slots to this RegistryObject. Slot names MUST be locally unique within this RegistryObject. Any existing slots are not effected.
void	Removes one or more slots from this RegistryObject. Slots to be removed are identified by their name.

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#### Methods inherited from interface RegistryObject

getAccessControlPolicy, getDescription, getName, getID, setDescription, setName, setID

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getMajorVersion, getMinorVersion, setMajorVersion, setMinorVersion

#### 422 7.3.1 Pre-defined RegistryEntry Status Types

The following table lists pre-defined choices for RegistryEntry status attribute.

These pre-defined status types are defined as a *Classification* scheme. While the

425 scheme may easily be extended, a Registry MUST support the status types listed below.

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Name	Description
Submitted	Status of a RegistryEntry that catalogues content that has been submitted to the <i>Registry</i> .
Approved	Status of a RegistryEntry that catalogues content that has been submitted to the <i>Registry</i> and has been subsequently approved.
Deprecated	Status of a RegistryEntry that catalogues content that has been submitted to the <i>Registry</i> and has been subsequently deprecated.
Withdrawn	Status of a RegistryEntry that catalogues content that has been withdrawn from the <i>Registry</i> .

#### 7.3.2 Pre-defined Object Types

The following table lists pre-defined object types. Note that for an ExtrinsicObject there are many types defined based on the type of repository item the ExtrinsicObject catalogs. In addition there there are object types defined for IntrinsicObject sub-classes that may have concrete *Instances*.

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These pre-defined object types are defined as a *Classification* scheme. While the scheme may easily be extended a *Registry* MUST support the object types listed below.

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name	description
Unknown	An ExtrinsicObject that catalogues content whose type is unspecified or unknown.
CPA	An ExtrinsicObject of this type catalogues an XML document Collaboration Protocol Agreement (CPA) representing a technical agreement between two parties on how they plan to communicate with each other using a specific protocol.
CPP	An ExtrinsicObject of this type catalogues an document called <i>Collaboration Protocol Profile</i> ( <i>CPP</i> ) that provides information about a <i>Party</i> participating in a <i>Business</i> transaction.
Process	An ExtrinsicObject of this type catalogues a process description document.
Role	An ExtrinsicObject of this type catalogues an XML description of a Role in a Collaboration Protocol Profile (CPP).
ServiceInterface	An ExtrinsicObject of this type catalogues an XML description of a service interface as defined by [ebCPP].

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-	
SoftwareComponent	An ExtrinsicObject of this type catalogues a software component (e.g., an EJB or <i>Class</i> library).
Transport	An ExtrinsicObject of this type catalogues an <i>XML</i> description of a transport configuration as defined by [ebCPP].
UMLModel	An ExtrinsicObject of this type catalogues a <i>UML</i> model.
XMLSchema	An ExtrinsicObject of this type catalogues an <i>XML</i> schema ( <i>DTD</i> , <i>XML</i> Schema, RELAX grammar, etc.).
Package	A Package object
ExternalLink	An ExternalLink object
ExternalIdentifier	An ExternalIdentifier object
Association	An Association object
Classification	A Classification object
ClassificationNode	A ClassificationNode object
AuditableEvent	An AuditableEvent object
User	A User object
Organization	An Organization object

#### 7.3.3 Pre-defined RegistryEntry Stability Enumerations

The following table lists pre-defined choices for RegistryEntry stability attribute. These pre-defined stability types are defined as a *Classification* scheme. While the scheme may easily be extended, a *Registry* MUST support the stability types listed below.

Name	Description
Dynamic	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed arbitrarily by submitter at any time.
DynamicCompatible	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed in a backward compatible way by submitter at any time.
Static	Stability of a RegistryEntry that indicates that the content is static and will not be changed by submitter.

#### 7.4 Interface Slot

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Slot *Instances* provide a dynamic way to add arbitrary attributes to RegistryEntry *Instances*. This ability to add attributes dynamically to RegistryEntry *Instances* enables extensibility within the Registry Information Model.

In this model, a RegistryEntry may have 0 or more Slots. A slot is composed of a name, a slotType and a collection of values. The name of slot is locally unique within the RegistryEntry *Instance*. Similarly, the value of a Slot is locally unique within a slot *Instance*. Since a Slot represent an extensible attribute whose value may be a collection, therefore a Slot is allowed to have a collection of values rather than a single value. The slotType attribute may optionally specify a type or category for the slot.

Method Su	ımmary
String	Gets the name of this RegistryObject. Maps to attribute named name.
void	SetName (String name) Sets the name of this RegistryObject. Slot names are locally unique within a RegistryEntry <i>Instance</i> .
String	Gets the slotType or category for this slot. Maps to attribute named slotType.
void	Sets the slotType or category for this slot.
Collection	Gets the collection of values for this RegistryObject. The type for each value is String. Maps to attribute named values.
void	Sets the collection of values for this RegistryObject.

### 7.5 Interface ExtrinsicObject

### **All Superinterfaces:**

RegistryEntry, RegistryObject, Versionable

ExtrinsicObjects provide metadata that describes submitted content whose type is not intrinsically known to the *Registry* and therefore MUST be described by means of additional attributes (e.g., mime type).

ebXML Registry Information Model

Examples of content described by ExtrinsicObject include *Collaboration Protocol Profiles (CPP)*, *Business Process* descriptions, and schemas.

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Mothod	Summary.
wethod	Summary
String	Gets the URI to the content catalogued by this ExtrinsicObject.  A Registry MUST guarantee that this URI is resolvable. Maps to attribute named contentURI.
String	Gets the mime type associated with the content catalogued by this ExtrinsicObject. Maps to attribute named mimeType.
boolean	Determines whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the <i>Registry</i> . In some situations, a <i>Submitting Organization</i> may submit content that is encrypted and not even readable by the <i>Registry</i> . Maps to attribute named opaque.
void	Sets the URI to the content catalogued by this ExtrinsicObject.
void	SetMimeType (String mimeType)  Sets the mime type associated with the content catalogued by this ExtrinsicObject.
void	SetOpaque (boolean isOpaque) Sets whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the <i>Registry</i> .

Note that methods inherited from the base interfaces of this interface are not shown.

### 7.6 Interface IntrinsicObject

### All Superinterfaces:

RegistryEntry, RegistryObject, Versionable

#### **All Known Subinterfaces:**

<u>Association</u>, <u>Classification</u>, <u>ClassificationNode</u>, <u>ExternalLink</u>, <u>Organization</u>, <u>Package</u>

IntrinsicObject serve as a common base *Class* for derived *Classes* that catalogue submitted content whose type is known to the *Registry* and defined by the ebXML *Registry* specifications.

This interface currently does not define any attributes or methods. Note that methods inherited from the base interfaces of this interface are not shown.

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#### 7.7 Interface Package

#### **All Superinterfaces:**

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

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Logically related RegistryEntries may be grouped into a Package. It is anticipated that *Registry Services* will allow operations to be performed on an entire *Package* of objects in the future.

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# **Method Summary**

Collection getMemberObjects()

Get the collection of RegistryEntries that are members of this Package. Maps to attribute named memberObjects.

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#### 7.8 Interface ExternalIdentifier

#### All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

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ExternalIdentifier *Instances* provide the additional identifier information to RegistryEntry such as DUNS number, Social Security Number, or an alias name of the organization. The attribute *name* inherited from RegistryObject is used to contain the identification scheme (Social Security Number, etc), and the attribute *value* contains the actual information. Each RegistryEntry may have 0 or more association(s) with ExternalIdentifier.

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See Also:

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Method Summary	
String	getValue()
	Gets the value of this Externalldentifier. Maps to
	attribute named value.
Void	setValue(String value)
	Sets the value of this ExternalIdentifier.

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Note that methods inherited from the base interfaces of this interface are not shown.

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#### 7.9 Interface ExternalLink

#### 517 All Superinterfaces:

ebXML Registry Information Model

518 <u>IntrinsicObject, RegistryEntry, RegistryObject, Versionable</u>

ExternalLinks use URIs to associate content in the *Registry* with content that may reside outside the *Registry*. For example, an organization submitting a *DTD* could use an ExternalLink to associate the *DTD* with the organization's home page.

<b>Method</b>	Summary
Collection	getLinkedObjects()
	Gets the collection of RegistryObjects that use this external
	link. Maps to attribute named linkedObjects.
URI	<pre>getExternalURI()</pre>
	Gets URI to the external content. Maps to attribute named
	externalURI.
void	setExternalURI (URI uri)
	Sets URI to the external content.

Note that methods inherited from the base interfaces of this interface are not shown.

### 8 Registry Audit Trail

This section describes the information model *Elements* that support the audit trail capability of the *Registry*. Several *Classes* in this section are *Entity Classes* that are used as wrappers to model a set of related attributes. These *Entity Classes* do not have any associated behavior. They are analogous to the "struct" construct in the C programming language.

The getAuditTrail() method of a RegistryEntry returns an ordered Collection of AuditableEvents. These AuditableEvents constitute the audit trail for the RegistryEntry. AuditableEvents include a timestamp for the *Event*. Each AuditableEvent has a reference to a User identifying the specific user that performed an action that resulted in an AuditableEvent. Each User is affiliated with an Organization, which is usually the *Submitting Organization*.

#### 8.1 Interface AuditableEvent

#### All Superinterfaces:

RegistryObject

AuditableEvent *Instances* provide a long-term record of *Events* that effect a change of state in a RegistryEntry. A RegistryEntry is associated with an ordered Collection of AuditableEvent *Instances* that provide a complete audit trail for that RegistryObject.

ebXML Registry Information Model

AuditableEvents are usually a result of a client-initiated request. AuditableEvent *Instances* are generated by the *Registry Service* to log such *Events*.

Often such *Events* effect a change in the life cycle of a RegistryEntry. For example a client request could Create, Update, Deprecate or Delete a RegistryEntry. No AuditableEvent is created for requests that do not alter the state of a RegistryEntry. Specifically, read-only requests do not generate an AuditableEvent. No AuditableEvent is generated for a RegistryEntry when it is classified, assigned to a Package or associated with another RegistryObject.

### 8.1.1 Pre-defined Auditable Event Types

The following table lists pre-defined auditable event types. These pre-defined event types are defined as a *Classification* scheme. While the scheme may easily be extended, a *Registry* MUST support the event types listed below.

Name	description	
Created	An Event that created a RegistryEntry.	
Deleted	An <i>Event</i> that deleted a RegistryEntry.	
Deprecated	An Event that deprecated a RegistryEntry.	
Updated	An Event that updated the state of a RegistryEntry.	
Versioned	An <i>Event</i> that versioned a RegistryEntry.	

Method Summary		
User getUser()		
	Gets the User that sent the request that generated	
	this <i>Event</i> . Maps to attribute named user.	
String	String getEventType()	
	The type of this <i>Event</i> as defined by the name	
	attribute of an event type as defined in section 8.1.1. Maps	
	to attribute named eventType.	
RegistryEntry	<pre>getRegistryEntry()</pre>	
	Gets the RegistryEntry associated with this	
	AuditableEvent. Maps to attribute named	
	registryEntry.	
Timestamp	<pre>getTimestamp()</pre>	
	Gets the Timestamp for when this <i>Event</i> occured.	
	Maps to attribute named timestamp.	

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Note that methods inherited from the base interfaces of this interface are not shown.

#### 8.2 Interface User

### **All Superinterfaces:**

RegistryObject

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User *Instances* are used in an AuditableEvent to keep track of the identity of the requestor that sent the request that generated the AuditableEvent.

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Mothod Sur	nmary
Method Sur	
<u>Organization</u>	getOrganization()
	Gets the Submitting Organization that sent the request
	that effected this change. Maps to attribute named
	organization.
PostalAddress	
	Gets the postal address for this user. Maps to attribute
	named address.
String	getEmail()
	Gets the email address for this user. Maps to attribute
	named email.
TelephoneNumber	getFax()
	The FAX number for this user. Maps to attribute named
	fax.
TelephoneNumber	<pre>getMobilePhone()</pre>
	The mobile telephone number for this user. Maps to
	attribute named mobilePhone.
PersonName	getName()
	Name of contact person. Maps to attribute named
	name.
TelephoneNumber	
	The pager telephone number for this user. Maps to
	attribute named pager.
TelephoneNumber	
	The default (land line) telephone number for this user.
	Maps to attribute named telephone.
URL	getUrl()
	The <i>URL</i> to the web page for this contact. Maps to
	attribute named url.

### 8.3 Interface Organization

#### **All Superinterfaces:**

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Organization *Instances* provide information on organizations such as a *Submitting Organization*. Each Organization *Instance* may have a reference to a parent Organization. In addition it may have a contact attribute defining the primary contact within the organization. An Organization also has an address attribute.

<b>Method Sur</b>	nmary
PostalAddress	getAddress()
	Gets the PostalAddress for this Organization. Maps to
	attribute named address.
User	<pre>getPrimaryContact()</pre>
	Gets the primary Contact for this Organization. The
	primary contact is a reference to a User object. Maps to
	attribute named primaryContact.
TelephoneNumber	getFax()
	Gets the FAX number for this Organization. Maps to
	attribute named fax.
Organization	getParent()
	Gets the parent Organization for this Organization.
	Maps to attribute named parent.
TelephoneNumber	
	Gets the main telephone number for this Organization.
	Maps to attribute named telephone.

Note that methods inherited from the base interfaces of this interface are not shown.

#### 8.4 Class Postal Address

PostalAddress is a simple reusable *Entity Class* that defines attributes of a postal address.

Field Summary	
String	city
	The city.
String	country
	The country.

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String	postalCode
	The postal or zip code.
String	state
	The state or province.
	The state of profiles.
String	street

### 8.5 Class TelephoneNumber

A simple reusable Entity Class that defines attributes of a telephone number.

Field Summary	
String	areaCode
	Area code.
String	countryCode
	country code.
String	extension
	internal extension if any.
String	number
	The telephone number suffix not including the country or
	area code.
String	url
	A URL that can dial this number electronically.

### 8.6 Class PersonName

A simple *Entity Class* for a person's name.

Field Summary	
String	<u>firstName</u>
	The first name for this person.
String	lastName
	The last name (surname) for this person.
String	middleName
	The middle name for this person.

### 9 RegistryEntry Naming

A RegistryEntry has a name that may or may not be unique within the *Registry*.

In addition a RegistryEntry may have any number of context sensitive alternate names that are valid only in the context of a particular *Classification* scheme. Alternate contextual naming will be addressed in a later version of the Registry Information Model.

### 10 Association of RegistryEntry

A RegistryEntry may be associated with 0 or more RegistryObjects. The information model defines an Association *Class*. An *Instance* of the Association *Class* represents an association between a RegistryEntry and another RegistryObject. An example of such an association is between ExtrinsicObjects that catalogue a new *Collaboration Protocol Profile* (*CPP*) and an older *Collaboration Protocol Profile* where the newer *CPP* supersedes the older *CPP* as shown in Figure 3.

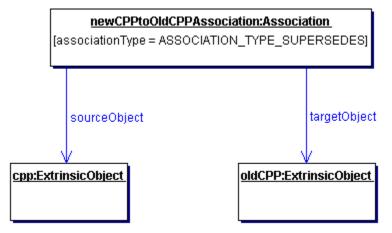


Figure 3: Example of RegistryEntry Association

#### 10.1 Interface Association

#### **All Superinterfaces:**

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Association *Instances* are used to define many-to-many associations between RegistryObjects in the information model.

An *Instance* of the Association *Class* represents an association between two RegistryObjects.

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Metho	d Summary
String	Gets the association type for this Association. This MUST be the name attribute of an association type as defined by 10.1.1. Maps to attribute named associationType.
<u>Object</u>	Gets the RegistryObject that is the source of this Association.  Maps to attribute named sourceObject.
String	Gets the name of the <i>Role</i> played by the source RegistryObject in this Association. Maps to attribute named sourceRole.
Object	Gets the RegistryObject that is the target of this Association.  Maps to attribute named targetObject.
String	Gets the name of the <i>Role</i> played by the target RegistryObject in this Association. Maps to attribute named targetRole.
boolean	Determine whether this Association is bi-directional. Maps to attribute named bidirectional.
void	Set Whether this Association is bi-directional.
void	SetSourceRole (String sourceRole)  Sets the name of the <i>Role</i> played by the source RegistryObject in this Association.
void	Sets the name of the <i>Role</i> played by the destination RegistryObject in this Association.

### 10.1.1 Pre-defined Association Types

The following table lists pre-defined association types. These pre-defined association types are defined as a *Classification* scheme. While the scheme may easily be extended a *Registry* MUST support the association types listed below.

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name description

RelatedTo	Defines that source RegistryObject is related to target RegistryObject.
HasMember	Defines that the source Package object has the target RegistryEntry object as a member. Reserved for use in Packaging of RegistryEntries.
ExternallyLinks	Defines that the source ExternalLink object externally links the target RegistryEntry object. Reserved for use in associating ExternalLinks with RegistryEntries.
ExternallyIdentifies	Defines that the source ExternalIdentifier object identifies the target RegistryEntry object. Reserved for use in associating ExternalIdentifiers with RegistryEntries.
ContainedBy	Defines that source RegistryObject is contained by the target RegistryObject.
Contains	Defines that source RegistryObject contains the target RegistryObject.
Extends	Defines that source RegistryObject inherits from or specializes the target RegistryObject.
Implements	Defines that source RegistryObject implements the functionality defined by the target RegistryObject.
InstanceOf	Defines that source RegistryObject is an <i>Instance</i> of target RegistryObject.
SupersededBy	Defines that the source RegistryObject is superseded by the target RegistryObject.
Supersedes	Defines that the source RegistryObject supersedes the target RegistryObject.
UsedBy	Defines that the source RegistryObject is used by the target RegistryObject in some manner.
Uses	Defines that the source RegistryObject uses the target RegistryObject in some manner.
ReplacedBy	Defines that the source RegistryObject is replaced by the target RegistryObject in some manner.
Replaces	Defines that the source RegistryObject replaces the target RegistryObject in some manner.

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[Note] In some association types, such as Extends and Implements, although the association is between RegistryObjects, the actual relationship specified by that type is between repository items pointed by RegistryObjects.

### 11 Classification of RegistryEntry

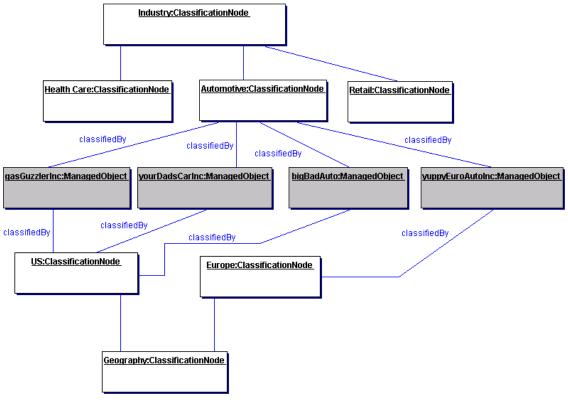
This section describes the how the information model supports *Classification* of RegistryEntry. It is a simplified version of the *OASIS* classification model [OAS].

A RegistryEntry may be classified in many ways. For example the RegistryEntry for the same *Collaboration Protocol Profile* (*CPP*) may be classified by its industry, by the products it sells and by its geographical location.

 A general *Classification* scheme can be viewed as a *Classification* tree. In the example shown in Figure 4, RegistryEntries representing *Collaboration Protocol Profiles* are shown as shaded boxes. Each *Collaboration Protocol Profile* represents an automobile manufacturer. Each *Collaboration Protocol Profile* is classified by the ClassificationNode named Automotive under the root ClassificationNode named Industry. Furthermore, the US Automobile manufacturers are classified by the US ClassificationNode under the Geography ClassificationNode. Similarly, a European automobile manufacturer is classified by the Europe ClassificationNode under the Geography ClassificationNode.

The example shows how a RegistryEntry may be classified by multiple *Classification* schemes. A *Classification* scheme is defined by a ClassificationNode that is the root of a *Classification* tree (e.g. Industry, Geography)

675 Classification676 Geography).



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#### Figure 4: Example showing a Classification Tree

[Note]It is important to point out that the dark nodes (gasGuzzlerInc, yourDadsCarInc etc.) are not part of the Classification tree. The leaf nodes of the Classification tree are Health Care, Automotive, Retail, US and Europe. The dark nodes are associated with the Classification tree via a Classification Instance that is not shown in the picture

In order to support a general *Classification* scheme that can support single level as well as multi-level *Classifications*, the information model defines the *Classes* and relationships shown in Figure 5.

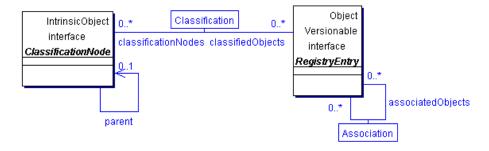


Figure 5: Information Model Classification View

A Classification is a specialized form of an Association. Figure 6 shows an example of an ExtrinsicObject *Instance* for a *Collaboration Protocol Profile* (*CPP*) object that is classified by a ClassificationNode representing the Industry that it belongs to.

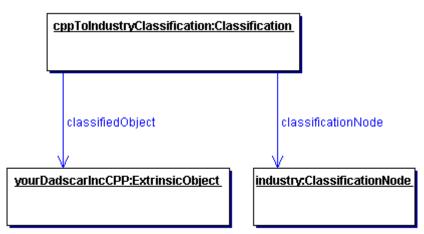


Figure 6: Classification Instance Diagram

#### 11.1 Interface ClassificationNode

#### **All Superinterfaces:**

 IntrinsicObject, RegistryEntry, RegistryObject, Versionable

ClassificationNode *Instances* are used to define tree structures where each node in the tree is a ClassificationNode. Such *Classification* trees constructed with ClassificationNodes are used to define *Classification* schemes or ontologies. **See Also:** 

Classification

<b>Method Sumn</b>	nary
Collection	Get the collection of RegistryEntries classified by this ClassificationNode. Maps to attribute named classifiedObjects.
ClassificationNode	Gets the parent ClassificationNode for this ClassificationNode. Maps to attribute named parent.
String	Gets the path from the root ancestor of this ClassificationNode. The path conforms to the [XPATH] expression syntax (e.g "/Geography/Asia/Japan"). Maps to attribute named path.
void	Sets the parent ClassificationNode for this ClassificationNode.
String	Gets the code for this ClassificationNode. See section 11.4 for details. Maps to attribute named code.
void	SetS the code for this ClassificationNode. See section 11.4 for details.

Note that methods inherited from the base interfaces of this interface are not shown.

In Figure 4, several *Instances* of ClassificationNode are defined (all light colored boxes). A ClassificationNode has zero or one ClassificationNodes for its parent and zero or more ClassificationNodes for its immediate children. If a ClassificationNode has no parent then it is the root of a *Classification* tree. Note that the entire *Classification* tree is recursively defined by a single information model *Element* ClassificationNode.

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#### 11.2 Interface Classification

#### All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Classification *Instances* are used to classify repository item by associating their RegistryEntry *Instance* with a ClassificationNode *Instance* within a *Classification* scheme.

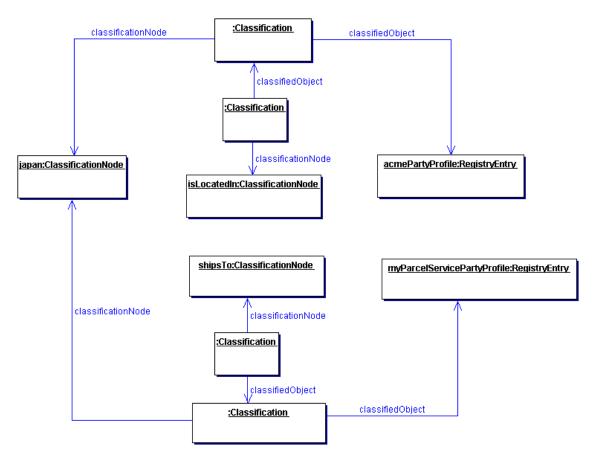
In Figure 4, Classification *Instances* are not explicitly shown but are implied as associations between the RegistryEntries (shaded leaf node) and the associated ClassificationNode

Method Summary	
RegistryObject	getClassifiedObject()
	Gets the RegistryObject that is classified by this
	Classification. Maps to attribute named classifiedObject.
RegistryObject	getClassificationNode()
	Gets the ClassificationNode that classifies the
	RegistryObject in this Classification. Maps to attribute named
	classificationNode.

Note that methods inherited from the base interfaces of this interface are not shown.

#### 11.2.1 Context Sensitive Classification

Consider the case depicted in Figure 7 where a *Collaboration Protocol Profile* for ACME Inc. is classified by the Japan ClassificationNode under the Geography *Classification* scheme. In the absence of the context for this *Classification* its meaning is ambiguous. Does it mean that ACME is located in Japan, or does it mean that ACME ships products to Japan, or does it have some other meaning? To address this ambiguity a Classification may optionally be associated with another ClassificationNode (in this example named isLocatedIn) that provides the missing context for the Classification. Another *Collaboration Protocol Profile* for MyParcelService may be classified by the Japan ClassificationNode where this Classification is associated with a different ClassificationNode (e.g. named shipsTo) to indicate a different context than the one used by ACME Inc.



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Figure 7: Context Sensitive Classification

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Thus, in order to support the possibility of Classification within multiple contexts, a Classification is itself classified by any number of Classifications that bind the first Classification to ClassificationNodes that provide the missing contexts.

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In summary, the generalized support for *Classification* schemes in the information model allows:

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o A RegistryEntry to be classified by defining a Classification that associates it with a ClassificationNode in a *Classification* tree.

757 o A RegistryEntry to be classified along multiple facets by having multiple
 758 Classifications that associate it with multiple ClassificationNodes.
 759 o A Classification defined for a RegistryEntry to be qualified by the contex

o A *Classification* defined for a RegistryEntry to be qualified by the contexts in which it is being classified.

### 761 11.3 Example of Classification Schemes

The following table lists some examples of possible *Classification* schemes enabled by the information model. These schemes are based on a subset of contextual concepts identified by the ebXML Business Process and Core Components Project Teams. This list is meant to be illustrative not prescriptive.

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Classification Scheme (Context)	Usage Example
Industry	Find all Parties in Automotive industry
Process	Find a ServiceInterface that implements a Process
Product	Find a Business that sells a product
Locale	Find a Supplier located in Japan
Temporal	Find Supplier that can ship with 24 hours
Role	Find All Suppliers that have a Role of "Seller"

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Table 1: Sample Classification Schemes

### 11.4 Standardized Taxonomy Support

Standardized taxonomies also referred to as ontologies or coding schemes exist in various industries to provide a structured coded vocabulary. The ebXML *Registry* does not define support for specific taxonomies. Instead it provides a general capability to link RegistryEntries to codes defined by various taxonomies.

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The information model provides two alternatives for using standardized taxonomies for *Classification* of RegistryEntries.

#### 11.4.1 Full-featured Taxonomy Based Classification

The information model provides a full-featured taxonomy based *Classification* alternative based Classification and ClassificationNode *Instances*. This alternative requires that a standard taxonomy be imported into the *Registry* as a *Classification* tree consisting of ClassificationNode *Instances*. This specification does not prescribe the transformation tools necessary to convert standard taxonomies into ebXML *Registry Classification* trees. However, the transformation MUST ensure that:

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- The name attribute of the root ClassificationNode is the name of the standard taxonomy (e.g. NAICS, ICD-9, SNOMED).
- All codes in the standard taxonomy are preserved in the code attribute of a ClassificationNode.
- 3. The intended structure of the standard taxonomy is preserved in the ClassificationNode tree, thus allowing polymorphic browse and drill down discovery. This means that is searching for entries classified by Asia will find entries classified by descendants of Asia (e.g. Japan and Korea).

### 793 11.4.2 Light Weight Taxonomy Based Classification

The information model also provides a lightweight alternative for classifying RegistryEntry *Instances* by codes defined by standard taxonomies, where the submitter does not wish to import an entire taxonomy as a native *Classification* scheme.

ebXML Registry Information Model

In this alternative the submitter adds one or more taxonomy related Slots to the RegistryEntry for a submitted repository item. Each Slot's name identifies a standardized taxonomy while the Slot's value is the code within the specified taxonomy. Such taxonomy related Slots MUST be defined with a slotType of Classification.

For example if a RegistryEntry has a Slot with name "NAICS", a slotType of "Classification" and a value "51113" it implies that the RegistryEntry is classified by the code for "Book Publishers" in the NAICS taxonomy. Note that in this example, there is no need to import the entire NAICS taxonomy, nor is there any need to create *Instances* of ClassificationNode or Classification.

The following points are noteworthy in this light weight *Classification* alternative:

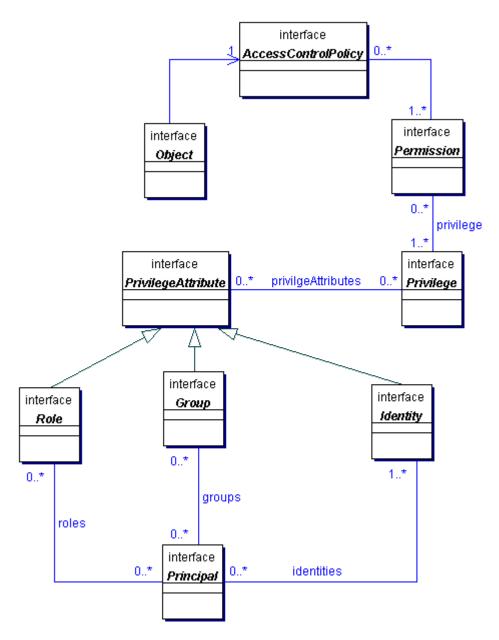
 Validation of the name and the value of the Classification" is responsibility
of the SO and not of the ebXML Registry itself.

 • Discovery is based on exact match on slot name and slot value rather than the flexible "browse and drill down discovery" available to the heavy weight *Classification* alternative.

### 12 Information Model: Security View

This section describes the aspects of the information model that relate to the security features of the *Registry*.

Figure 8 shows the view of the objects in the *Registry* from a security perspective. It shows object relationships as a *UML Class* diagram. It does not show *Class* attributes or *Class* methods that will be described in subsequent sections. It is meant to be illustrative not prescriptive.



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Figure 8: Information Model: Security View

### 12.1 Interface AccessControlPolicy

Every RegistryObject is associated with exactly one AccessControlPolicy which defines the policy rules that govern access to operations or methods performed on that RegistryObject. Such policy rules are defined as a collection of Permissions.

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# Method Summary

Collection getPermissions()

Gets the Permissions defined for this AccessControlPolicy. Maps to attribute named permissions.

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### 12.2 Interface Permission

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The Permission object is used for authorization and access control to RegistryObjects in the *Registry*. The Permissions for a RegistryObject are defined in an AccessControlPolicy object.

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A Permission object authorizes access to a method in a RegistryObject if the requesting Principal has any of the Privileges defined in the Permission. See Also:

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Privilege, AccessControlPolicy

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# **Method Summary**

String getMethodName()

Gets the method name that is accessible to a Principal with specified Privilege by this Permission. Maps to attribute named methodName.

See Also:

Collection getPrivileges()

Gets the Privileges associated with this Permission. Maps to attribute named privileges.

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### 12.3 Interface Privilege

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A Privilege object contains zero or more PrivilegeAttributes. A PrivilegeAttribute can be a Group, a Role, or an Identity.

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A requesting Principal MUST have all of the PrivilegeAttributes specified in a Privilege in order to gain access to a method in a protected RegistryObject. Permissions defined in the RegistryObject's AccessControlPolicy define the Privileges that can authorize access to specific methods.

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This mechanism enables the flexibility to have object access control policies that are based on any combination of Roles, Identities or Groups.

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PrivilegeAttribute, Permission

ebXML Registry Information Model

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### Method Summary

Collection getPrivilegeAttributes()

Gets the PrivilegeAttributes associated with this Privilege. Maps to attribute named privilegeAttributes.

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### 12.4 Interface Privilege Attribute

#### All Known Subinterfaces:

Group, Identity, Role

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PrivilegeAttribute is a common base *Class* for all types of security attributes that are used to grant specific access control privileges to a Principal. A Principal may have several different types of PrivilegeAttributes. Specific combination of PrivilegeAttributes may be defined as a Privilege object.

877 See Also:

Principal, Privilege

#### 12.5 Interface Role 879

#### All Superinterfaces:

PrivilegeAttribute

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A security Role PrivilegeAttribute. For example a hospital may have Roles such as Nurse, Doctor, Administrator etc. Roles are used to grant Privileges to Principals. For example a Doctor Role may be allowed to write a prescription but a Nurse Role may not.

### 12.6 Interface Group

#### All Superinterfaces:

PrivilegeAttribute

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A security Group PrivilegeAttribute. A Group is an aggregation of users that may have different Roles. For example a hospital may have a Group defined for Nurses and Doctors that are participating in a specific clinical trial (e.g. AspirinTrial group). Groups are used to grant Privileges to Principals. For example the members of the AspirinTrial group may be allowed to write a prescription for Aspirin (even though Nurse Role as a rule may not be allowed to write prescriptions).

### **12.7 Interface Identity**

#### **All Superinterfaces:**

**PrivilegeAttribute** 

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A security Identity PrivilegeAttribute. This is typically used to identify a person, an organization, or software service. Identity attribute may be in the form of a digital certificate.

### 12.8 Interface Principal

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Principal is a completely generic term used by the security community to include both people and software systems. The Principal object is an entity that has a set of PrivilegeAttributes. These PrivilegeAttributes include at least one identity, and optionally a set of role memberships, group memberships or security clearances. A principal is used to authenticate a requestor and to authorize the requested action based on the PrivilegeAttributes associated with the Principal.

913 See Also:

 ${\tt PrivilegeAttributes,} \ \underline{{\tt Privilege}}, \ \underline{{\tt Permission}}$ 

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Method	Summary
Collection	getGroups ()
	Gets the Groups associated with this Principal. Maps to
	attribute named groups.
Collection	getIdentities()
	Gets the Identities associated with this Principal. Maps to
	attribute named identities.
Collection	getRoles()
	Gets the Roles associated with this Principal. Maps to
	attribute named roles.

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917	13 References
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930	[ebRS] ebXML Registry Services Specification
931	http://www.ebxml.org/specdrafts/ebXML_RS_v1.0.pdf
932	[ebBPSS] ebXML Business Process Specification Schema
933	http://www.ebxml.org/specdrafts/Busv2-0.pdf
934	[ebCPP] ebXML Collaboration-Protocol Profile and Agreement Specification
935	http://www.ebxml.org/specfrafts/
936 937 938 939 940 941 942	[UUID] DCE 128 bit Universal Unique Identifier  http://www.opengroup.org/onlinepubs/009629399/apdxa.htm#tagcjh_20  http://www.opengroup.org/publications/catalog/c706.htmttp://www.w3.org/ TR/REC-xml  [XPATH] XML Path Language (XPath) Version 1.0  http://www.w3.org/TR/xpath
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