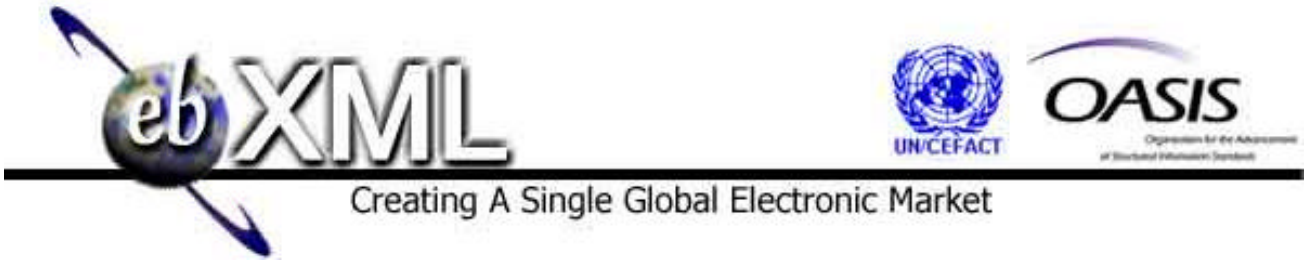

ebXML Registry Information Model v1.0

ebXML Registry Project Team

8 May 2001

1 Status of this Document

This document specifies an ebXML DRAFT STANDARD for the *eBusiness* community.

Distribution of this document is unlimited.

The document formatting is based on the Internet Society's Standard RFC format.

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http://www.ebxml.org/specs/ebRIM.pdf

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3 Introduction

3.1 Summary of Contents of Document

This document specifies the information model for the ebXML *Registry*.

A separate document, ebXML Registry Services Specification [ebRS], describes how to build *Registry Services* that provide access to the information content in the ebXML *Registry*.

3.2 General Conventions

- *UML* diagrams are used as a way to concisely describe concepts. They are not intended to convey any specific *Implementation* or methodology requirements.
- Interfaces are often used in *UML* diagrams. They are used instead of *Classes* with attributes to provide an abstract definition without implying any specific *Implementation*. Specifically, they do not imply that objects in the *Registry* will be accessed directly via these interfaces. Objects in the *Registry* are accessed via interfaces described in the ebXML Registry Services Specification. Each get method in every interface has an explicit indication of the attribute name that the get method maps to. For example getName method maps to an attribute named `name`.
- The term *"repository item"* is used to refer to an object that has been submitted to a Registry for storage and safekeeping (e.g. an XML document or a DTD). Every repository item is described by a RegistryEntry instance.
- The term "RegistryEntry" is used to refer to an object that provides metadata about a repository item.
- The term "RegistryObject" is used to refer to the base interface in the information model to avoid the confusion with the common term "object". However, when the term "object" is used to refer to a *class* or an interface in the information model, it may also mean RegistryObject because almost all classes are descendants of RegistryObject.

The information model does not deal with the actual content of the repository. All *Elements* of the information model represent metadata about the content and not the content itself.

Software practitioners MAY use this document in combination with other ebXML specification documents when creating ebXML compliant software.

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in RFC 2119 [Bra97].

3.2.1 Naming Conventions

In order to enforce a consistent capitalization and naming convention in this document, "Upper Camel Case" *(UCC)* and "Lower Camel Case" *(LCC)* Capitalization styles are used in the following conventions

- Element name is in *UCC* convention (example: <UpperCamelCaseElement/>).
- Attribute name is in *LCC* convention (example: <UpperCamelCaseElement lowerCamelCaseAttribute="Whatever"/>).
- *Class*, Interface names use UCC convention (examples: ClassificationNode, Versionable).
- Method name uses LCC convention (example: getName(), setName())

Also, *Capitalized Italics* words are defined in the ebXML Glossary [ebGLOSS].

3.3 Audience

The target audience for this specification is the community of software developers who are:

o Implementers of ebXML *Registry Services*
o Implementers of ebXML *Registry Clients*

3.4 Related Documents

The following specifications provide some background and related information to the reader:

a) ebXML Registry Services Specification [ebRS] - defines the actual *Registry Services* based on this information model
b) ebXML Collaboration-Protocol Profile and Agreement Specification [ebCPP] - defines how profiles can be defined for a *Party* and how two *Parties'* profiles may be used to define a *Party* agreement
c) ebXML Business Process Specification Schema [ebBPSS]
d) ebXML Technical Architecture Specification [ebTA]

4 Design Objectives

4.1 Goals

The goals of this version of the specification are to:

- Communicate what information is in the *Registry* and how that information is organized
- Leverage as much as possible the work done in the *OASIS* [OAS] and the *ISO* 11179 [ISO] Registry models
- Align with relevant works within other ebXML working groups
- Be able to evolve to support future ebXML *Registry* requirements
- Be compatible with other ebXML specifications

5 System Overview

5.1 Role of ebXML *Registry*

The *Registry* provides a stable store where information submitted by a *Submitting Organization* is made persistent. Such information is used to facilitate ebXML-based *Business* to *Business* (B2B) partnerships and transactions. Submitted content may be *XML* schema and documents, process descriptions, *Core Components*, context descriptions, *UML* models, information about parties and even software components.

5.2 Registry Services

A set of *Registry Services* that provide access to *Registry* content to clients of the *Registry* is defined in the ebXML Registry Services Specification [ebRS]. This document does not provide details on these services but may occasionally refer to them.

5.3 What the Registry Information Model Does

The Registry Information Model provides a blueprint or high-level schema for the ebXML *Registry*. Its primary value is for implementers of ebXML *Registries*. It provides these implementers with information on the type of metadata that is stored in the *Registry* as well as the relationships among metadata *Classes*.

The Registry information model:

- Defines what types of objects are stored in the *Registry*
- Defines how stored objects are organized in the *Registry*
- Is based on ebXML metamodels from various working groups

5.4 How the Registry Information Model Works

Implementers of the ebXML *Registry* MAY use the information model to determine which *Classes* to include in their *Registry Implementation* and what attributes and methods these *Classes* may have. They MAY also use it to

determine what sort of database schema their *Registry Implementation* may need.

```
[Note]The information model is meant to be
     illustrative and does not prescribe any
     specific Implementation choices.
```

5.5 Where the Registry Information Model May Be Implemented

The Registry Information Model MAY be implemented within an ebXML *Registry* in the form of a relational database schema, object database schema or some other physical schema. It MAY also be implemented as interfaces and *Classes* within a *Registry Implementation*.

5.6 *Conformance* to an ebXML *Registry*

If an *Implementation* claims *Conformance* to this specification then it supports all required information model *Classes* and interfaces, their attributes and their semantic definitions that are visible through the ebXML *Registry Services*.

6 Registry Information Model: High Level Public View

This section provides a high level public view of the most visible objects in the *Registry*.

Figure 1 shows the high level public view of the objects in the *Registry* and their relationships as a *UML Class Diagram*. It does not show *Inheritance*, *Class* attributes or *Class* methods.
The reader is again reminded that the information model is not modeling actual repository items.

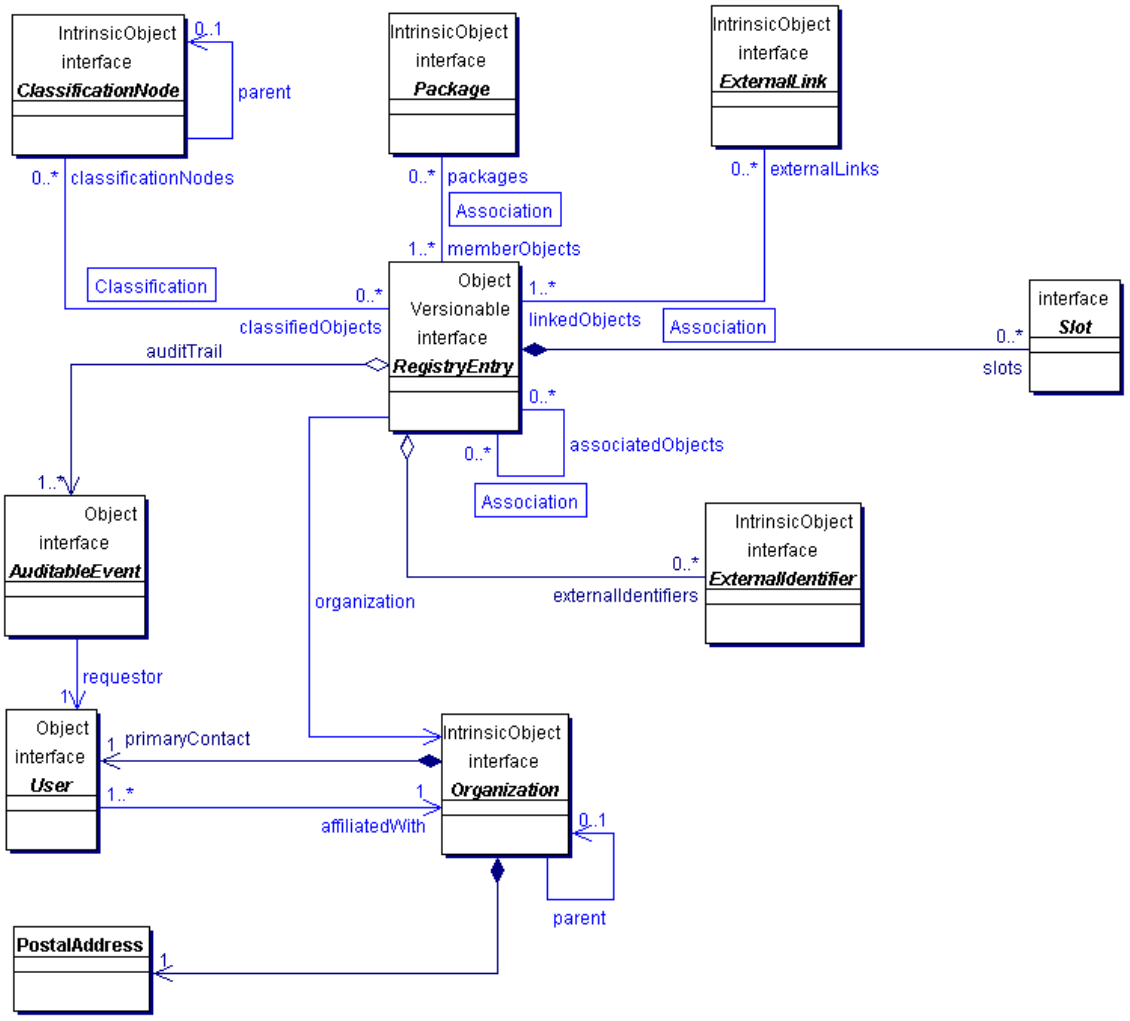

Figure 1: Information Model High Level Public View

6.1 RegistryEntry

The central object in the information model is a RegistryEntry. An *Instance* of RegistryEntry exists for each content *Instance* submitted to the *Registry*. *Instances* of the RegistryEntry *Class* provide metadata about a repository item. The actual repository item (e.g. a *DTD*) is not contained in an *Instance* of the RegistryEntry *Class*. Note that most *Classes* in the information model are specialized sub-classes of RegistryEntry. Each RegistryEntry is related to exactly one repository item.

6.2 Slot

Slot *Instances* provide a dynamic way to add arbitrary attributes to RegistryEntry *Instances*. This ability to add attributes dynamically to RegistryEntry *Instances* enables extensibility within the Registry Information Model.

6.3 Association

Association *Instances* are RegistryEntries that are used to define many-to-many associations between objects in the information model. Associations are described in detail in section 10.

6.4 ExternalIdentifier

ExternalIdentifier *Instances* provide additional identifier information to RegistryEntry such as DUNS number, Social Security Number, or an alias name of the organization.

6.5 ExternalLink

ExternalLink *Instances* are RegistryEntries that model a named URI to content that is not managed by the *Registry*. Unlike managed content, such external content may change or be deleted at any time without the knowledge of the *Registry*. RegistryEntry may be associated with any number of ExternalLinks. Consider the case where a *Submitting Organization* submits a repository item (e.g. a *DTD*) and wants to associate some external content to that object (e.g. the *Submitting Organization*'s home page). The ExternalLink enables this capability. A potential use of the ExternalLink capability may be in a GUI tool that displays the ExternalLinks to a RegistryEntry. The user may click on such links and navigate to an external web page referenced by the link.

6.6 ClassificationNode

ClassificationNode *Instances* are RegistryEntries that are used to define tree structures where each node in the tree is a ClassificationNode. *Classification* trees constructed with ClassificationNodes are used to define *Classification* schemes or ontologies. ClassificationNode is described in detail in section 11.

6.7 Classification

Classification *Instances* are RegistryEntries that are used to classify repository items by associating their RegistryEntry *Instance* with a ClassificationNode within a *Classification* scheme. Classification is described in detail in section 11.

6.8 Package

Package *Instances* are RegistryEntries that group logically related RegistryEntries together. One use of a Package is to allow operations to be performed on an entire *Package* of objects. For example all objects belonging to a Package may be deleted in a single request.

6.9 AuditableEvent

AuditableEvent *Instances* are Objects that are used to provide an audit trail for RegistryEntries. AuditableEvent is described in detail in section 8.

6.10 User

User *Instances* are Objects that are used to provide information about registered users within the *Registry*. User objects are used in audit trail for RegistryEntries. User is described in detail in section 8.

6.11 PostalAddress

PostalAddress is a simple reusable *Entity Class* that defines attributes of a postal address.

6.12 Organization

Organization *Instances* are RegistryEntries that provide information on organizations such as a *Submitting Organization*. Each Organization *Instance* may have a reference to a parent Organization.

7 Registry Information Model: Detail View

This section covers the information model *Classes* in more detail than the Public View. The detail view introduces some additional *Classes* within the model that were not described in the public view of the information model.

Figure 2 shows the *Inheritance* or “is a” relationships between the *Classes* in the information model. Note that it does not show the other types of relationships, such as “has a” relationships, since they have already been shown in a previous figure. *Class* attributes and *class* methods are also not shown. Detailed description of methods and attributes of most interfaces and *Classes* will be displayed in tabular form following the description of each *Class* in the model.

The interface Association will be covered in detail separately in section 10. The interfaces Classification and ClassificationNode will be covered in detail separately in section 11.

The reader is again reminded that the information model is not modeling actual repository items.

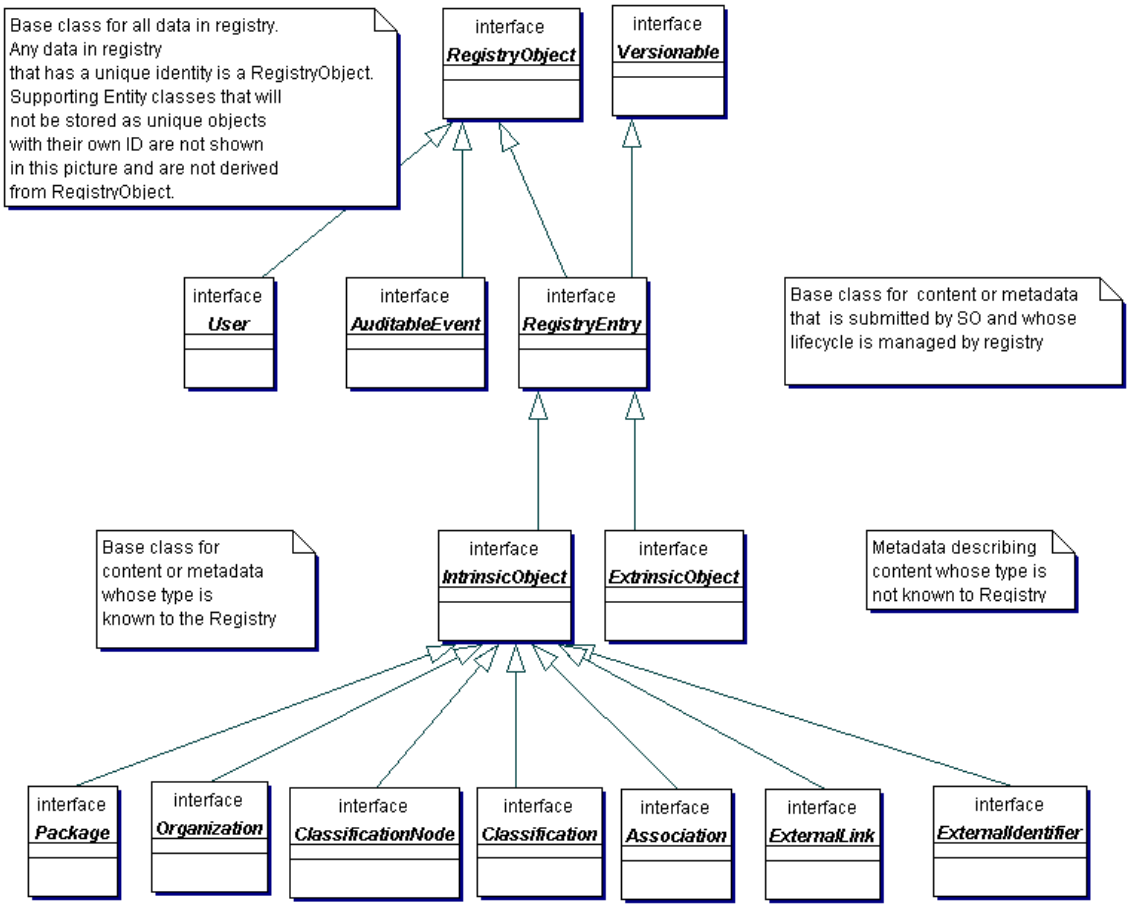

Figure 2: Information Model *Inheritance* View

7.1 Interface RegistryObject

All Known Subinterfaces:

Association, Classification, ClassificationNode, ExternalLink, ExtrinsicObject, IntrinsicObject, RegistryEntry, Organization, Package, User, AuditableEvent, ExternalIdentifier

---

RegistryObject provides a common base interface for almost all objects in the information model. Information model *Classes* whose *Instances* have a unique identity and an independent life cycle are descendants of the RegistryObject *Class*.

Note that Slot and PostalAddress are not descendants of the RegistryObject *Class* because their *Instances* do not have an independent existence and unique identity. They are always a part of some other *Class*'s *Instance* (e.g. Organization has a PostalAddress).

Method Summary of RegistryObject	
AccessControlPolicy	**getAccessControlPolicy**() Gets the AccessControlPolicy object associated with this RegistryObject. An AccessControlPolicy defines the *Security Model* associated with the RegistryObject in terms of "who is permitted to do what" with that RegistryObject. Maps to attribute named `accessControlPolicy`.
String	**getDescription**() Gets the context independent textual description for this RegistryObject. Maps to attribute named `description`.
String	**getName**() Gets user friendly, context independent name for this RegistryObject. Maps to attribute named `name`.
String	**getID**() Gets the universally unique ID, as defined by [UUID], for this RegistryObject. Maps to attribute named `id`.
void	**setDescription**(String description) Sets the context, independent textual description for this RegistryObject.
void	**setName**(String name) Sets user friendly, context independent name for this RegistryObject.
void	**setID**(String id) Sets the universally unique ID, as defined by [UUID], for this RegistryObject.

393
394

7.2 Interface Versionable

All Known Subinterfaces:

Association, Classification, ClassificationNode, ExternalLink, ExtrinsicObject, IntrinsicObject, RegistryEntry, Organization, Package, ExternalIdentifier

---

The Versionable interface defines the behavior common to *Classes* that are capable of creating versions of their *Instances*. At present all RegistryEntry *Classes* are REQUIRED to implement the Versionable interface.

Method Summary of Versionable	
int	**getMajorVersion**() Gets the major revision number for this version of the Versionable object. Maps to attribute named `majorVersion`.
int	**getMinorVersion**() Gets the minor revision number for this version of the Versionable object. Maps to attribute named `minorVersion`.
void	**setMajorVersion**(int majorVersion) Sets the major revision number for this version of the Versionable object.
void	**setMinorVersion**(int minorVersion) Sets the minor revision number for this version of the Versionable object.

7.3 Interface RegistryEntry

All Superinterfaces:

RegistryObject, Versionable

All Known Subinterfaces:

Association, Classification, ClassificationNode, ExternalLink, ExtrinsicObject, IntrinsicObject, Organization, Package, ExternalIdentifier

---

RegistryEntry is a common base *Class* for all metadata describing submitted content whose life cycle is managed by the *Registry*. Metadata describing content submitted to the *Registry* is further specialized by the ExtrinsicObject and IntrinsicObject subclasses of RegistryEntry.

Method Summary of RegistryEntry	
Collection	**getAssociatedObjects**() Returns the collection of RegistryObjects associated with this RegistryObject. Maps to attribute named associatedObjects.
Collection	**getAuditTrail**() Returns the complete audit trail of all requests that effected a state change in this RegistryObject as an ordered Collection of AuditableEvent objects. Maps to attribute named auditTrail.
Collection	**getClassificationNodes**() Returns the collection of ClassificationNodes associated with this RegistryObject. Maps to attribute named classificationNodes.
Collection	**getExternalLinks**() Returns the collection of ExternalLinks associated with this RegistryObject. Maps to attribute named externalLinks.
Collection	**getExternalIdentifiers**() Returns the collection of ExternalIdentifiers associated with this RegistryObject. Maps to attribute named externalIdentifiers.
String	**getObjectType**() Gets the pre-defined object type associated with this RegistryEntry. This SHOULD be the name of a object type as described in 7.3.2. Maps to attribute named objectType.
Collection	**getOrganizations**() Returns the collection of Organizations associated with this RegistryObject. Maps to attribute named organizations.
Collection	**getPackages**() Returns the collection of Packages associated with this RegistryObject. Maps to attribute named packages.
String	**getStatus**() Gets the life cycle status of the RegistryEntry within the *Registry*. This SHOULD be the name of a RegistryEntry status type as described in 7.3.1. Maps to attribute named status.
String	**getUserVersion**() Gets the userVersion attribute of the RegistryEntry within the *Registry*. The userVersion is the version for the RegistryEntry as assigned by the user.
void	**setUserVersion**(String UserVersion) Sets the userVersion attribute of the RegistryEntry within the *Registry*.
String	**getStability**() Gets the stability indicator for the RegistryEntry within the

	Registry. The stability indicator is provided by the submitter as a guarentee of the level of stability for the content. This SHOULD be the name of a stability type as described in 7.3.3. Maps to attribute named `stability`.
`Date`	**`getExpirationDate`**`()` Gets expirationDate attribute of the RegistryEntry within the *Registry*. This attribute defines a time limit upon the stability guarentee provided by the stability attribute. Once the expirationDate has been reached the stability attribute in effect becomes STABILITY_DYNAMIC implying that content can change at any time and in any manner. A null value implies that there is no expiration on stability attribute. Maps to attribute named `expirationDate`.
`void`	**`setExpirationDate`**`(Date ExpirationDate)` Sets expirationDate attribute of the RegistryEntry within the *Registry*.
`Collection`	**`getSlots`**`()` Gets the collection of slots that have been dynamically added to this RegistryObject. Maps to attribute named `slots`.
`void`	**`addSlots`**`(Collection newSlots)` Adds one or more slots to this RegistryObject. Slot names MUST be locally unique within this RegistryObject. Any existing slots are not effected.
`void`	**`removeSlots`**`(Collection slotNames)` Removes one or more slots from this RegistryObject. Slots to be removed are identified by their name.

420

Methods inherited from interface RegistryObject
`getAccessControlPolicy`, `getDescription`, `getName`, `getID`, `setDescription`, `setName`, `setID`

421

Methods inherited from interface Versionable
`getMajorVersion`, `getMinorVersion`, `setMajorVersion`, `setMinorVersion`

422 ### 7.3.1 Pre-defined RegistryEntry Status Types

423 The following table lists pre-defined choices for RegistryEntry status attribute.
424 These pre-defined status types are defined as a *Classification* scheme. While the
425 scheme may easily be extended, a *Registry* MUST support the status types listed
426 below.

427

Name	Description

Submitted	Status of a RegistryEntry that catalogues content that has been submitted to the *Registry*.
Approved	Status of a RegistryEntry that catalogues content that has been submitted to the *Registry* and has been subsequently approved.
Deprecated	Status of a RegistryEntry that catalogues content that has been submitted to the *Registry* and has been subsequently deprecated.
Withdrawn	Status of a RegistryEntry that catalogues content that has been withdrawn from the *Registry*.

7.3.2 Pre-defined Object Types

The following table lists pre-defined object types. Note that for an ExtrinsicObject there are many types defined based on the type of repository item the ExtrinsicObject catalogs. In addition there there are object types defined for IntrinsicObject sub-classes that may have concrete *Instances*.

These pre-defined object types are defined as a *Classification* scheme. While the scheme may easily be extended a *Registry* MUST support the object types listed below.

name	description
Unknown	An ExtrinsicObject that catalogues content whose type is unspecified or unknown.
CPA	An ExtrinsicObject of this type catalogues an *XML* document *Collaboration Protocol Agreement* (*CPA*) representing a technical agreement between two parties on how they plan to communicate with each other using a specific protocol.
CPP	An ExtrinsicObject of this type catalogues an document called *Collaboration Protocol Profile* (*CPP*) that provides information about a *Party* participating in a *Business* transaction.
Process	An ExtrinsicObject of this type catalogues a process description document.
Role	An ExtrinsicObject of this type catalogues an *XML* description of a *Role* in a *Collaboration Protocol Profile* (*CPP*).
ServiceInterface	An ExtrinsicObject of this type catalogues an *XML* description of a service interface as defined by [ebCPP].
SoftwareComponent	An ExtrinsicObject of this type catalogues a software component (e.g., an EJB or *Class* library).

Transport	An ExtrinsicObject of this type catalogues an *XML* description of a transport configuration as defined by [ebCPP].
UMLModel	An ExtrinsicObject of this type catalogues a *UML* model.
XMLSchema	An ExtrinsicObject of this type catalogues an *XML* schema (*DTD*, *XML* Schema, RELAX grammar, etc.).
Package	A Package object
ExternalLink	An ExternalLink object
ExternalIdentifier	An ExternalIdentifier object
Association	An Association object
Classification	A Classification object
ClassificationNode	A ClassificationNode object
AuditableEvent	An AuditableEvent object
User	A User object
Organization	An Organization object

7.3.3 Pre-defined RegistryEntry Stability Enumerations

The following table lists pre-defined choices for RegistryEntry stability attribute. These pre-defined stability types are defined as a *Classification* scheme. While the scheme may easily be extended, a *Registry* MAY support the stability types listed below.

Name	Description
Dynamic	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed arbitrarily by submitter at any time.
DynamicCompatible	Stability of a RegistryEntry that indicates that the content is dynamic and may be changed in a backward compatible way by submitter at any time.
Static	Stability of a RegistryEntry that indicates that the content is static and will not be changed by submitter.

7.4 Interface Slot

Slot *Instances* provide a dynamic way to add arbitrary attributes to RegistryEntry *Instances*. This ability to add attributes dynamically to RegistryEntry *Instances* enables extensibility within the Registry Information Model.

In this model, a RegistryEntry may have 0 or more Slots. A slot is composed of a name, a slotType and a collection of values. The name of slot is locally unique within the RegistryEntry *Instance*. Similarly, the value of a Slot is locally unique within a slot *Instance*. Since a Slot represent an extensible attribute whose value may be a collection, therefore a Slot is allowed to have a collection of values rather than a single value. The slotType attribute may optionally specify a type or category for the slot.

Method Summary of Slot	
String	**getName**() Gets the name of this RegistryObject. Maps to attribute named `name`.
void	**setName**(String name) Sets the name of this RegistryObject. Slot names are locally unique within a RegistryEntry *Instance*.
String	**getSlotType**() Gets the slotType or category for this slot. Maps to attribute named `slotType`.
void	**setSlotType**(String slotType) Sets the slotType or category for this slot.
Collection	**getValues**() Gets the collection of values for this RegistryObject. The type for each value is String. Maps to attribute named `values`.
void	**setValues**(Collection values) Sets the collection of values for this RegistryObject.

7.5 Interface ExtrinsicObject

All Superinterfaces:

RegistryEntry, RegistryObject, Versionable

ExtrinsicObjects provide metadata that describes submitted content whose type is not intrinsically known to the *Registry* and therefore MUST be described by means of additional attributes (e.g., mime type).

Examples of content described by ExtrinsicObject include *Collaboration Protocol Profiles* (*CPP*), *Business Process* descriptions, and schemas.

Method Summary of Extrinsic Object	
String	**getContentURI**() Gets the URI to the content catalogued by this ExtrinsicObject. A *Registry* MUST guarantee that this URI is resolvable. Maps to attribute named `contentURI`.
String	**getMimeType**() Gets the mime type associated with the content catalogued by this ExtrinsicObject. Maps to attribute named `mimeType`.
boolean	**isOpaque**() Determines whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the *Registry*. In some situations, a *Submitting Organization* may submit content that is encrypted and not even readable by the *Registry*. Maps to attribute named `opaque`.
void	**setContentURI**(String uri) Sets the URI to the content catalogued by this ExtrinsicObject.
void	**setMimeType**(String mimeType) Sets the mime type associated with the content catalogued by this ExtrinsicObject.
void	**setOpaque**(boolean isOpaque) Sets whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the *Registry*.

Note that methods inherited from the base interfaces of this interface are not shown.

7.6 Interface IntrinsicObject

All Superinterfaces:

RegistryEntry, RegistryObject, Versionable

All Known Subinterfaces:

Association, Classification, ClassificationNode, ExternalLink, Organization, Package, ExternalIdentifier

---

IntrinsicObject serve as a common base *Class* for derived *Classes* that catalogue submitted content whose type is known to the *Registry* and defined by the ebXML *Registry* specifications.

This interface currently does not define any attributes or methods. Note that methods inherited from the base interfaces of this interface are not shown.

7.7 Interface Package

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Logically related RegistryEntries may be grouped into a Package. It is anticipated that *Registry Services* will allow operations to be performed on an entire *Package* of objects in the future.

Method Summary of Package	
Collection	**getMemberObjects**() Get the collection of RegistryEntries that are members of this Package. Maps to attribute named `memberObjects`.

7.8 Interface ExternalIdentifier

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

ExternalIdentifier *Instances* provide the additional identifier information to RegistryEntry such as DUNS number, Social Security Number, or an alias name of the organization. The attribute *name* inherited from RegistryObject is used to contain the identification scheme (Social Security Number, etc), and the attribute *value* contains the actual information. Each RegistryEntry may have 0 or more association(s) with ExternalIdentifier.

See Also:

Method Summary of ExternalIdentifier	
String	**getValue**() Gets the value of this ExternalIdentifier. Maps to attribute named `value`.
Void	**setValue**(String value) Sets the value of this ExternalIdentifier.

Note that methods inherited from the base interfaces of this interface are not shown.

7.9 Interface ExternalLink

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

ExternalLinks use URIs to associate content in the *Registry* with content that may reside outside the *Registry*. For example, an organization submitting a *DTD* could use an ExternalLink to associate the *DTD* with the organization's home page.

Method Summary of ExternalLink	
Collection	**getLinkedObjects**() Gets the collection of RegistryObjects that use this external link. Maps to attribute named linkedObjects.
URI	**getExternalURI**() Gets URI to the external content. Maps to attribute named externalURI.
void	**setExternalURI**(URI uri) Sets URI to the external content.

Note that methods inherited from the base interfaces of this interface are not shown.

8 Registry Audit Trail

This section describes the information model *Elements* that support the audit trail capability of the *Registry*. Several *Classes* in this section are *Entity Classes* that are used as wrappers to model a set of related attributes. These *Entity Classes* do not have any associated behavior. They are analogous to the "struct" construct in the C programming language.

The getAuditTrail() method of a RegistryEntry returns an ordered Collection of AuditableEvents. These AuditableEvents constitute the audit trail for the RegistryEntry. AuditableEvents include a timestamp for the *Event*. Each AuditableEvent has a reference to a User identifying the specific user that performed an action that resulted in an AuditableEvent. Each User is affiliated with an Organization, which is usually the *Submitting Organization*.

8.1 Interface AuditableEvent

All Superinterfaces:

RegistryObject

AuditableEvent *Instances* provide a long-term record of *Events* that effect a change of state in a RegistryEntry. A RegistryEntry is associated with an ordered Collection of AuditableEvent *Instances* that provide a complete audit trail for that RegistryObject.

AuditableEvents are usually a result of a client-initiated request. AuditableEvent *Instances* are generated by the *Registry Service* to log such *Events*.

Often such *Events* effect a change in the life cycle of a RegistryEntry. For example a client request could Create, Update, Deprecate or Delete a RegistryEntry. No AuditableEvent is created for requests that do not alter the

state of a RegistryEntry. Specifically, read-only requests do not generate an AuditableEvent. No AuditableEvent is generated for a RegistryEntry when it is classified, assigned to a Package or associated with another RegistryObject.

8.1.1 Pre-defined Auditable Event Types

The following table lists pre-defined auditable event types. These pre-defined event types are defined as a *Classification* scheme. While the scheme may easily be extended, a *Registry* MUST support the event types listed below.

Name	description
Created	An *Event* that created a RegistryEntry.
Deleted	An *Event* that deleted a RegistryEntry.
Deprecated	An *Event* that deprecated a RegistryEntry.
Updated	An *Event* that updated the state of a RegistryEntry.
Versioned	An *Event* that versioned a RegistryEntry.

Method Summary of AuditableEvent	
User	**getUser**() Gets the User that sent the request that generated this *Event*. Maps to attribute named `user`.
String	**getEventType**() The type of this *Event* as defined by the name attribute of an event type as defined in section 8.1.1. Maps to attribute named `eventType`.
RegistryEntry	**getRegistryEntry**() Gets the RegistryEntry associated with this AuditableEvent. Maps to attribute named `registryEntry`.
Timestamp	**getTimestamp**() Gets the Timestamp for when this *Event* occured. Maps to attribute named `timestamp`.

Note that methods inherited from the base interfaces of this interface are not shown.

8.2 Interface User

All Superinterfaces:

RegistryObject

User *Instances* are used in an AuditableEvent to keep track of the identity of the requestor that sent the request that generated the AuditableEvent.

Method Summary of User	
Organization	**getOrganization**() Gets the *Submitting Organization* that sent the request that effected this change. Maps to attribute named `organization`.
PostalAddress	**getAddress**() Gets the postal address for this user. Maps to attribute named `address`.
String	**getEmail**() Gets the email address for this user. Maps to attribute named `email`.
TelephoneNumber	**getFax**() The FAX number for this user. Maps to attribute named `fax`.
TelephoneNumber	**getMobilePhone**() The mobile telephone number for this user. Maps to attribute named `mobilePhone`.
PersonName	**getPersonName**() Name of contact person. Maps to attribute named `personName`.
TelephoneNumber	**getPager**() The pager telephone number for this user. Maps to attribute named `pager`.
TelephoneNumber	**getTelephone**() The default (land line) telephone number for this user. Maps to attribute named `telephone`.
URL	**getUrl**() The *URL* to the web page for this contact. Maps to attribute named `url`.

8.3 Interface Organization

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Organization *Instances* provide information on organizations such as a *Submitting Organization*. Each Organization *Instance* may have a reference to a parent Organization. In addition it may have a contact attribute defining the primary contact within the organization. An Organization also has an address attribute.

588

Method Summary of Organization	
PostalAddress	**getAddress**() Gets the PostalAddress for this Organization. Maps to attribute named `address`.
User	**getPrimaryContact**() Gets the primary Contact for this Organization. The primary contact is a reference to a User object. Maps to attribute named `primaryContact`.
TelephoneNumber	**getFax**() Gets the FAX number for this Organization. Maps to attribute named `fax`.
Organization	**getParent**() Gets the parent Organization for this Organization. Maps to attribute named `parent`.
TelephoneNumber	**getTelephone**() Gets the main telephone number for this Organization. Maps to attribute named `telephone`.

589
590 Note that methods inherited from the base interfaces of this interface are not
591 shown.
592

593 ## 8.4 *Class* PostalAddress

594
595
596 PostalAddress is a simple reusable *Entity Class* that defines attributes of a postal
597 address.
598

Field Summary	
String	**city** The city.
String	**country** The country.
String	**postalCode** The postal or zip code.
String	**state** The state or province.
String	**street** The street.

599

600 ## 8.5 *Class* TelephoneNumber

601

602
603 A simple reusable *Entity Class* that defines attributes of a telephone number.
604

Field Summary	
String	**areaCode** Area code.
String	**countryCode** country code.
String	**extension** internal extension if any.
String	**number** The telephone number suffix not including the country or area code.
String	**url** A *URL* that can dial this number electronically.

605

606 8.6 *Class* PersonName

607
608 A simple *Entity Class* for a person's name.
609
610

Field Summary	
String	**firstName** The first name for this person.
String	**lastName** The last name (surname) for this person.
String	**middleName** The middle name for this person.

611

612 9 RegistryEntry Naming

613 A RegistryEntry has a name that may or may not be unique within the *Registry*.
614
615 In addition a RegistryEntry may have any number of context sensitive alternate
616 names that are valid only in the context of a particular *Classification* scheme.
617 Alternate contextual naming will be addressed in a later version of the Registry
618 Information Model.
619

10 Association of RegistryEntry

A RegistryEntry may be associated with 0 or more RegistryObjects. The information model defines an Association *Class*. An *Instance* of the Association *Class* represents an association between a RegistryEntry and another RegistryObject. An example of such an association is between ExtrinsicObjects that catalogue a new *Collaboration Protocol Profile* (*CPP*) and an older *Collaboration Protocol Profile* where the newer *CPP* supersedes the older *CPP* as shown in Figure 3.

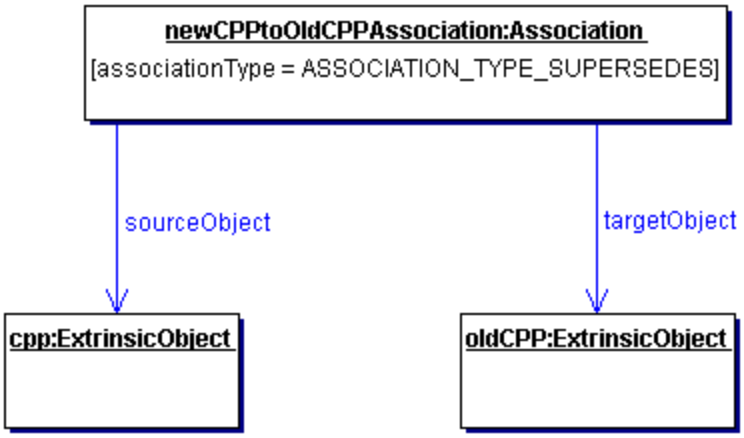

Figure 3: Example of RegistryEntry Association

10.1 Interface *Association*

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Association *Instances* are used to define many-to-many associations between RegistryObjects in the information model.

An *Instance* of the Association *Class* represents an association between two RegistryObjects.

Method Summary of Association	
String	**getAssociationType**() Gets the association type for this Association. This MUST be the name attribute of an association type as defined by 10.1.1. Maps to attribute named `associationType`.
Object	**getSourceObject**()

	Gets the RegistryObject that is the source of this Association. Maps to attribute named `sourceObject`.
`String`	**`getSourceRole`**`()` Gets the name of the *Role* played by the source RegistryObject in this Association. Maps to attribute named `sourceRole`.
`Object`	**`getTargetObject`**`()` Gets the RegistryObject that is the target of this Association. Maps to attribute named `targetObject`.
`String`	**`getTargetRole`**`()` Gets the name of the *Role* played by the target RegistryObject in this Association. Maps to attribute named `targetRole`.
`boolean`	**`isBidirectional`**`()` Determine whether this Association is bi-directional. Maps to attribute named `bidirectional`.
`void`	**`setBidirectional`**`(boolean bidirectional)` Set whether this Association is bi-directional.
`void`	**`setSourceRole`**`(String sourceRole)` Sets the name of the *Role* played by the source RegistryObject in this Association.
`void`	**`setTargetRole`**`(String targetRole)` Sets the name of the *Role* played by the destination RegistryObject in this Association.

10.1.1 Pre-defined Association Types

The following table lists pre-defined association types. These pre-defined association types are defined as a *Classification* scheme. While the scheme may easily be extended a *Registry* MUST support the association types listed below.

name	description
`RelatedTo`	Defines that source RegistryObject is related to target RegistryObject.
`HasMember`	Defines that the source Package object has the target RegistryEntry object as a member. Reserved for use in Packaging of RegistryEntries.
`ExternallyLinks`	Defines that the source ExternalLink object externally links the target RegistryEntry object. Reserved for use in associating ExternalLinks with RegistryEntries.
`ExternallyIdentifies`	Defines that the source ExternalIdentifier object identifies the target RegistryEntry object. Reserved for use in associating ExternalIdentifiers with RegistryEntries.

ContainedBy	Defines that source RegistryObject is contained by the target RegistryObject.
Contains	Defines that source RegistryObject contains the target RegistryObject.
Extends	Defines that source RegistryObject inherits from or specializes the target RegistryObject.
Implements	Defines that source RegistryObject implements the functionality defined by the target RegistryObject.
InstanceOf	Defines that source RegistryObject is an *Instance* of target RegistryObject.
SupersededBy	Defines that the source RegistryObject is superseded by the target RegistryObject.
Supersedes	Defines that the source RegistryObject supersedes the target RegistryObject.
UsedBy	Defines that the source RegistryObject is used by the target RegistryObject in some manner.
Uses	Defines that the source RegistryObject uses the target RegistryObject in some manner.
ReplacedBy	Defines that the source RegistryObject is replaced by the target RegistryObject in some manner.
Replaces	Defines that the source RegistryObject replaces the target RegistryObject in some manner.

[Note] In some association types, such as Extends and Implements, although the association is between RegistryObjects, the actual relationship specified by that type is between repository items pointed by RegistryObjects.

11 *Classification* of RegistryEntry

This section describes the how the information model supports *Classification* of RegistryEntry. It is a simplified version of the *OASIS* classification model [OAS].

A RegistryEntry may be classified in many ways. For example the RegistryEntry for the same *Collaboration Protocol Profile* (*CPP*) may be classified by its industry, by the products it sells and by its geographical location.

A general *Classification* scheme can be viewed as a *Classification* tree. In the example shown in Figure 4, RegistryEntries representing *Collaboration Protocol Profiles* are shown as shaded boxes. Each *Collaboration Protocol Profile* represents an automobile manufacturer. Each *Collaboration Protocol Profile* is classified by the ClassificationNode named Automotive under the root ClassificationNode named Industry. Furthermore, the US Automobile

manufacturers are classified by the US ClassificationNode under the Geography ClassificationNode. Similarly, a European automobile manufacturer is classified by the Europe ClassificationNode under the Geography ClassificationNode.

The example shows how a RegistryEntry may be classified by multiple *Classification* schemes. A *Classification* scheme is defined by a ClassificationNode that is the root of a *Classification* tree (e.g. Industry, Geography).

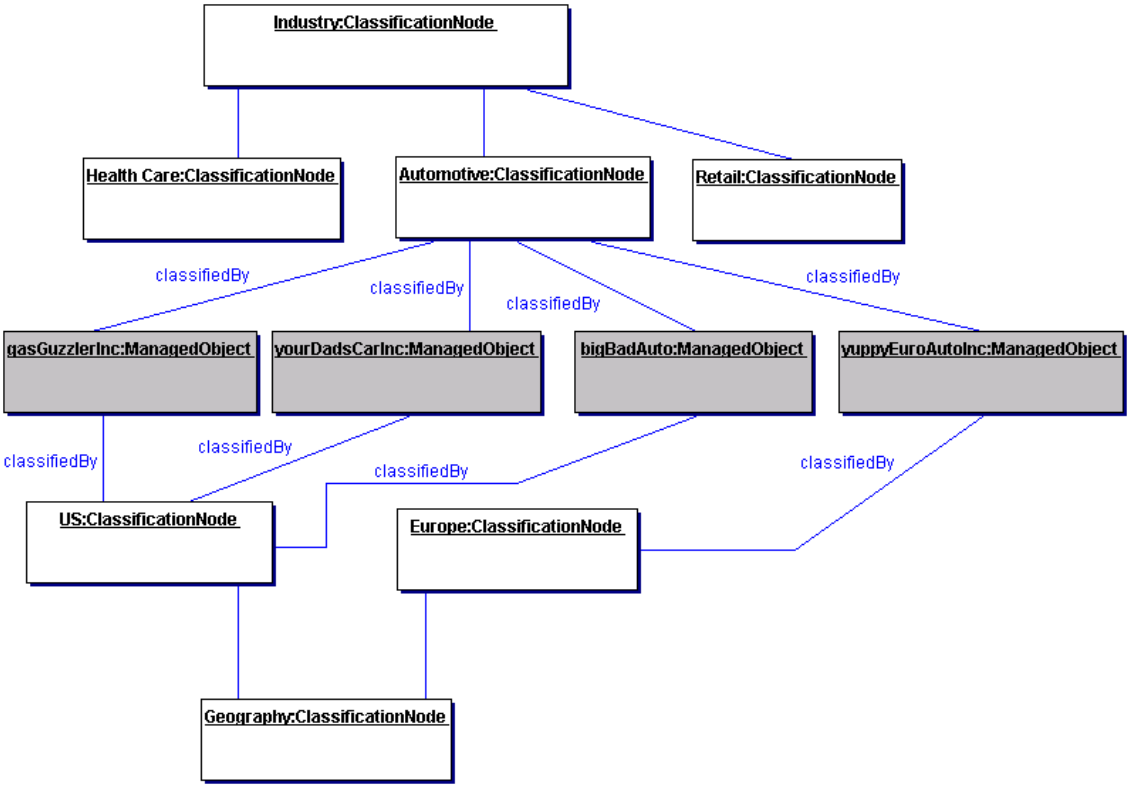

Figure 4: Example showing a *Classification* Tree

> [Note]It is important to point out that the dark nodes (gasGuzzlerInc, yourDadsCarInc etc.) are not part of the *Classification* tree. The leaf nodes of the *Classification* tree are Health Care, Automotive, Retail, US and Europe. The dark nodes are associated with the *Classification* tree via a *Classification Instance* that is not shown in the picture

In order to support a general *Classification* scheme that can support single level as well as multi-level *Classifications*, the information model defines the *Classes* and relationships shown in Figure 5.

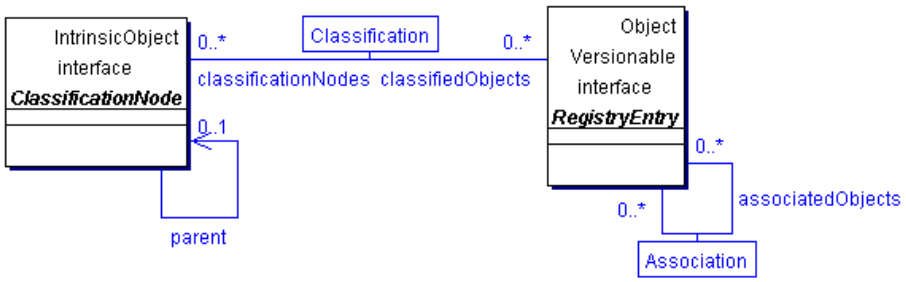

Figure 5: Information Model *Classification* View

A Classification is a specialized form of an Association. Figure 6 shows an example of an ExtrinsicObject *Instance* for a *Collaboration Protocol Profile* (*CPP*) object that is classified by a ClassificationNode representing the Industry that it belongs to.

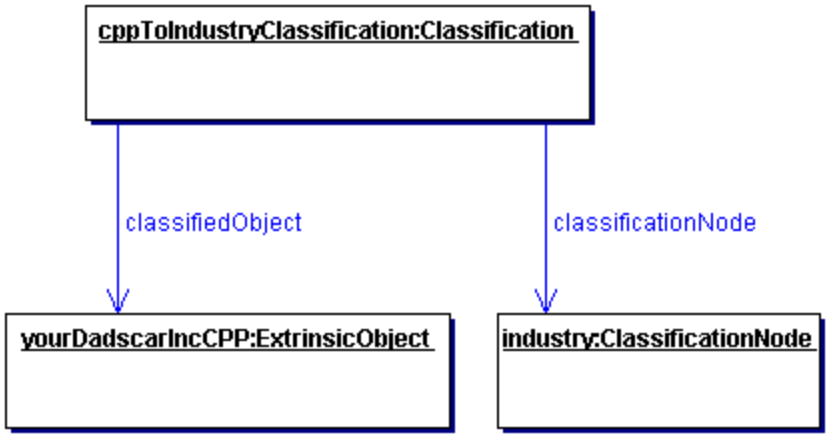

Figure 6: Classification *Instance* Diagram

11.1 Interface ClassificationNode

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

ClassificationNode *Instances* are used to define tree structures where each node in the tree is a ClassificationNode. Such *Classification* trees constructed with ClassificationNodes are used to define *Classification* schemes or ontologies.

See Also:

`Classification`

Method Summary of ClassificationNode	
`Collection`	**`getClassifiedObjects`**`()` Get the collection of RegistryObjects classified by this ClassificationNode. Maps to attribute named

	`classifiedObjects.`
`ClassificationNode`	**`getParent`**`()` Gets the parent ClassificationNode for this ClassificationNode. Maps to attribute named `parent.`
`String`	**`getPath`**`()` Gets the path from the root ancestor of this ClassificationNode. The path conforms to the [XPATH] expression syntax (e.g "/Geography/Asia/Japan"). Maps to attribute named `path.`
`void`	**`setParent`**`(ClassificationNode parent)` Sets the parent ClassificationNode for this ClassificationNode.
`String`	**`getCode`**`()` Gets the code for this ClassificationNode. See section 11.4 for details. Maps to attribute named `code.`
`void`	**`setCode`**`(String code)` Sets the code for this ClassificationNode. See section 11.4 for details.

Note that methods inherited from the base interfaces of this interface are not shown.

In Figure 4, several *Instances* of ClassificationNode are defined (all light colored boxes). A ClassificationNode has zero or one ClassificationNodes for its parent and zero or more ClassificationNodes for its immediate children. If a ClassificationNode has no parent then it is the root of a *Classification* tree. Note that the entire *Classification* tree is recursively defined by a single information model *Element* ClassificationNode.

11.2 Interface Classification

All Superinterfaces:

IntrinsicObject, RegistryEntry, RegistryObject, Versionable

Classification *Instances* are used to classify repository item by associating their RegistryEntry *Instance* with a ClassificationNode *Instance* within a *Classification* scheme.

In Figure 4, Classification *Instances* are not explicitly shown but are implied as associations between the RegistryEntries (shaded leaf node) and the associated ClassificationNode

Method Summary of Classification	
RegistryObject	**`getClassifiedObject`**`()`

	Gets the RegistryObject that is classified by this Classification. Maps to attribute named `classifiedObject`.
RegistryObject	**`getClassificationNode`**`()` Gets the ClassificationNode that classifies the RegistryObject in this Classification. Maps to attribute named `classificationNode`.

Note that methods inherited from the base interfaces of this interface are not shown.

11.2.1 Context Sensitive *Classification*

Consider the case depicted in Figure 7 where a *Collaboration Protocol Profile* for ACME Inc. is classified by the Japan ClassificationNode under the Geography *Classification* scheme. In the absence of the context for this *Classification* its meaning is ambiguous. Does it mean that ACME is located in Japan, or does it mean that ACME ships products to Japan, or does it have some other meaning? To address this ambiguity a Classification may optionally be associated with another ClassificationNode (in this example named isLocatedIn) that provides the missing context for the Classification. Another *Collaboration Protocol Profile* for MyParcelService may be classified by the Japan ClassificationNode where this Classification is associated with a different ClassificationNode (e.g. named shipsTo) to indicate a different context than the one used by ACME Inc.

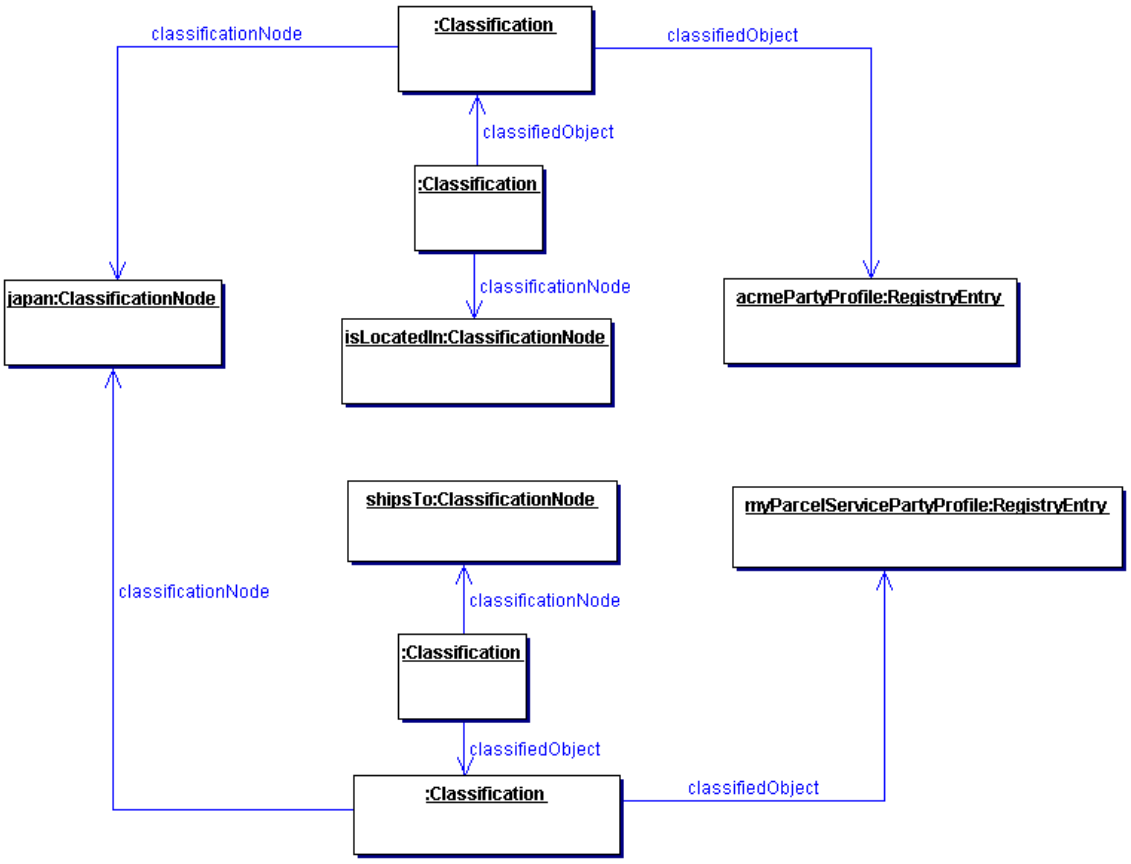

Figure 7: Context Sensitive *Classification*

Thus, in order to support the possibility of Classification within multiple contexts, a Classification is itself classified by any number of Classifications that bind the first Classification to ClassificationNodes that provide the missing contexts.

In summary, the generalized support for *Classification* schemes in the information model allows:

- A RegistryEntry to be classified by defining a Classification that associates it with a ClassificationNode in a *Classification* tree.
- A RegistryEntry to be classified along multiple facets by having multiple *Classifications* that associate it with multiple ClassificationNodes.
- A *Classification* defined for a RegistryEntry to be qualified by the contexts in which it is being classified.

11.3 Example of *Classification* Schemes

The following table lists some examples of possible *Classification* schemes enabled by the information model. These schemes are based on a subset of contextual concepts identified by the ebXML Business Process and Core Components Project Teams. This list is meant to be illustrative not prescriptive.

***Classification* Scheme (Context)**	**Usage Example**
Industry	Find all Parties in Automotive industry
Process	Find a ServiceInterface that implements a Process
Product	Find a *Business* that sells a product
Locale	Find a Supplier located in Japan
Temporal	Find Supplier that can ship with 24 hours
Role	Find All Suppliers that have a *Role* of “Seller”

Table 1: Sample *Classification* Schemes

11.4 Standardized Taxonomy Support

Standardized taxonomies also referred to as ontologies or coding schemes exist in various industries to provide a structured coded vocabulary. The ebXML *Registry* does not define support for specific taxonomies. Instead it provides a general capability to link RegistryEntries to codes defined by various taxonomies.

The information model provides two alternatives for using standardized taxonomies for *Classification* of RegistryEntries.

11.4.1 Full-featured Taxonomy Based *Classification*

The information model provides a full-featured taxonomy based *Classification* alternative based Classification and ClassificationNode *Instances*. This alternative requires that a standard taxonomy be imported into the *Registry* as a *Classification* tree consisting of ClassificationNode *Instances*. This specification does not prescribe the transformation tools necessary to convert standard taxonomies into ebXML *Registry Classification* trees. However, the transformation MUST ensure that:

1. The name attribute of the root ClassificationNode is the *name* of the standard taxonomy (e.g. NAICS, ICD-9, SNOMED).
2. All codes in the standard taxonomy are preserved in the *code* attribute of a ClassificationNode.
3. The intended structure of the standard taxonomy is preserved in the ClassificationNode tree, thus allowing polymorphic browse and drill down discovery. This means that is searching for entries classified by Asia will find entries classified by descendants of Asia (e.g. Japan and Korea).

11.4.2 Light Weight Taxonomy Based *Classification*

The information model also provides a lightweight alternative for classifying RegistryEntry *Instances* by codes defined by standard taxonomies, where the submitter does not wish to import an entire taxonomy as a native *Classification* scheme.

In this alternative the submitter adds one or more taxonomy related Slots to the RegistryEntry for a submitted repository item. Each Slot's name identifies a standardized taxonomy while the Slot's value is the code within the specified taxonomy. Such taxonomy related Slots MUST be defined with a slotType of `Classification`.

For example if a RegistryEntry has a Slot with name "NAICS", a slotType of "Classification" and a value "51113" it implies that the RegistryEntry is classified by the code for "Book Publishers" in the NAICS taxonomy. Note that in this example, there is no need to import the entire NAICS taxonomy, nor is there any need to create *Instances* of ClassificationNode or Classification.

The following points are noteworthy in this light weight *Classification* alternative:

- Validation of the name and the value of the Classification" is responsibility of the SO and not of the ebXML *Registry* itself.
- Discovery is based on exact match on slot name and slot value rather than the flexible "browse and drill down discovery" available to the heavy weight *Classification* alternative.

12 Information Model: Security View

This section describes the aspects of the information model that relate to the security features of the *Registry*.

Figure 8 shows the view of the objects in the *Registry* from a security perspective. It shows object relationships as a *UML Class* diagram. It does not show *Class* attributes or *Class* methods that will be described in subsequent sections. It is meant to be illustrative not prescriptive.

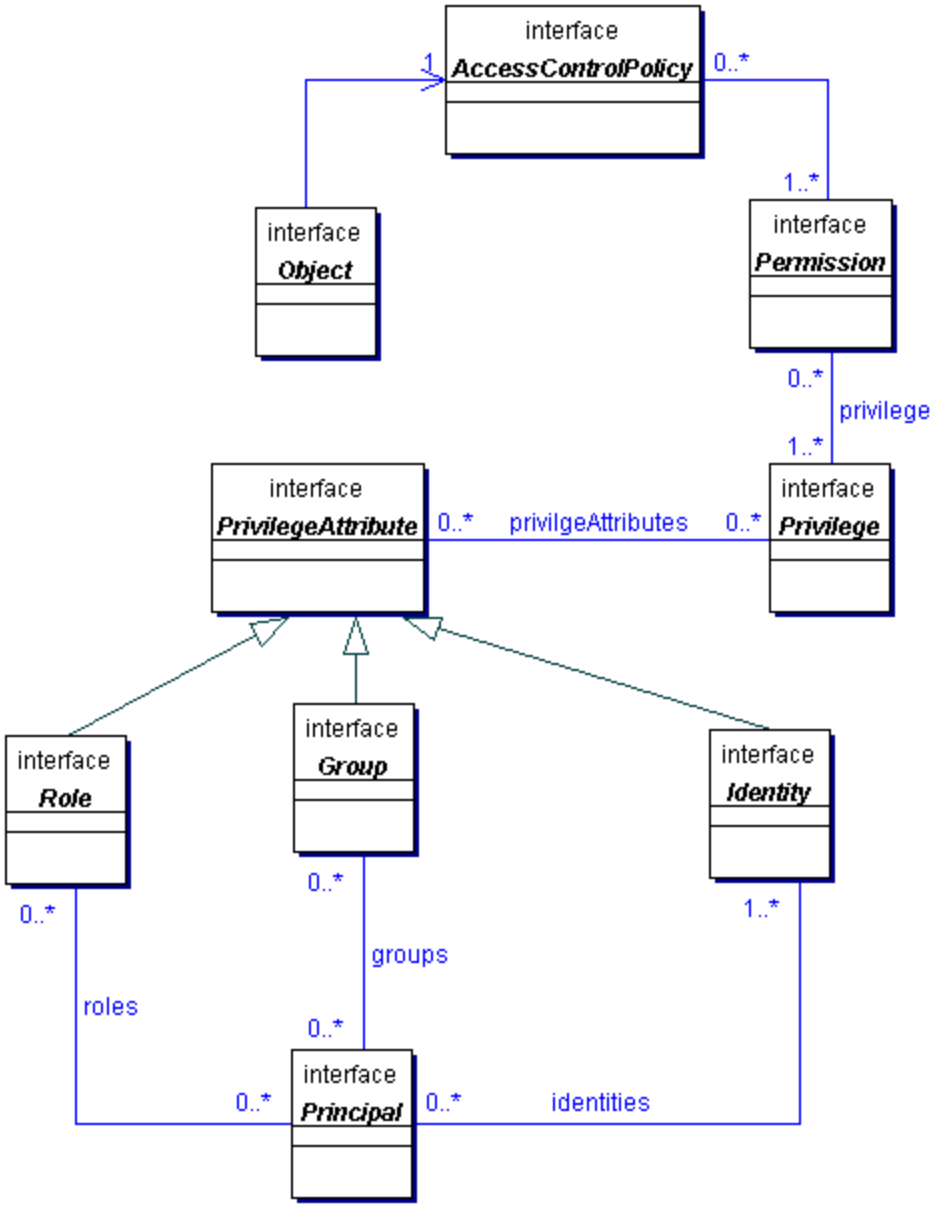

Figure 8: Information Model: Security View

12.1 Interface AccessControlPolicy

Every RegistryObject is associated with exactly one AccessControlPolicy which defines the policy rules that govern access to operations or methods performed on that RegistryObject. Such policy rules are defined as a collection of Permissions.

Method Summary of AccessControlPolicy	
Collection	**getPermissions**() Gets the Permissions defined for this AccessControlPolicy. Maps to attribute named `permissions`.

12.2 Interface Permission

The Permission object is used for authorization and access control to RegistryObjects in the *Registry*. The Permissions for a RegistryObject are defined in an AccessControlPolicy object.

A Permission object authorizes access to a method in a RegistryObject if the requesting Principal has any of the Privileges defined in the Permission.

See Also:

`Privilege`, `AccessControlPolicy`

Method Summary of Permission	
String	**getMethodName**() Gets the method name that is accessible to a Principal with specified Privilege by this Permission. Maps to attribute named `methodName`.
Collection	**getPrivileges**() Gets the Privileges associated with this Permission. Maps to attribute named `privileges`.

12.3 Interface Privilege

A Privilege object contains zero or more PrivilegeAttributes. A PrivilegeAttribute can be a Group, a Role, or an Identity.

A requesting Principal MUST have all of the PrivilegeAttributes specified in a Privilege in order to gain access to a method in a protected RegistryObject. Permissions defined in the RegistryObject's AccessControlPolicy define the Privileges that can authorize access to specific methods.

This mechanism enables the flexibility to have object access control policies that are based on any combination of Roles, Identities or Groups.

See Also:

`PrivilegeAttribute`, `Permission`

Method Summary of Privilege	
`Collection`	**`getPrivilegeAttributes`**`()` Gets the PrivilegeAttributes associated with this Privilege. Maps to attribute named `privilegeAttributes`.

12.4 Interface PrivilegeAttribute

All Known Subinterfaces:

Group, Identity, Role

PrivilegeAttribute is a common base *Class* for all types of security attributes that are used to grant specific access control privileges to a Principal. A Principal may have several different types of PrivilegeAttributes. Specific combination of PrivilegeAttributes may be defined as a Privilege object.

See Also:

`Principal`, `Privilege`

12.5 Interface Role

All Superinterfaces:

PrivilegeAttribute

A security Role PrivilegeAttribute. For example a hospital may have *Roles* such as Nurse, Doctor, Administrator etc. Roles are used to grant Privileges to Principals. For example a Doctor *Role* may be allowed to write a prescription but a Nurse *Role* may not.

12.6 Interface Group

All Superinterfaces:

PrivilegeAttribute

A security Group PrivilegeAttribute. A Group is an aggregation of users that may have different Roles. For example a hospital may have a Group defined for Nurses and Doctors that are participating in a specific clinical trial (e.g. AspirinTrial group). Groups are used to grant Privileges to Principals. For example the members of the AspirinTrial group may be allowed to write a prescription for Aspirin (even though Nurse Role as a rule may not be allowed to write prescriptions).

12.7 Interface Identity

All Superinterfaces:

PrivilegeAttribute

A security Identity PrivilegeAttribute. This is typically used to identify a person, an organization, or software service. Identity attribute may be in the form of a digital certificate.

12.8 Interface Principal

Principal is a completely generic term used by the security community to include both people and software systems. The Principal object is an entity that has a set of PrivilegeAttributes. These PrivilegeAttributes include at least one identity, and optionally a set of role memberships, group memberships or security clearances. A principal is used to authenticate a requestor and to authorize the requested action based on the PrivilegeAttributes associated with the Principal.

See Also:

`PrivilegeAttributes`, `Privilege`, `Permission`

Method Summary of Principal	
`Collection`	**`getGroups`**`()` Gets the Groups associated with this Principal. Maps to attribute named `groups`.
`Collection`	**`getIdentities`**`()` Gets the Identities associated with this Principal. Maps to attribute named `identities`.
`Collection`	**`getRoles`**`()` Gets the Roles associated with this Principal. Maps to attribute named `roles`.

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http://www.ebxml.org/specdrafts/ebXML_RS_v1.0.pdf

[ebBPSS] ebXML Business Process Specification Schema
http://www.ebxml.org/specdrafts/Busv2-0.pdf

[ebCPP] ebXML Collaboration-Protocol Profile and Agreement Specification
http://www.ebxml.org/specfrafts/

[UUID] DCE 128 bit Universal Unique Identifier
http://www.opengroup.org/onlinepubs/009629399/apdxa.htm#tagcjh_20
http://www.opengroup.org/publications/catalog/c706.htmttp://www.w3.org/TR/REC-xml

[XPATH] XML Path Language (XPath) Version 1.0
http://www.w3.org/TR/xpath

14 Disclaimer

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